ROTATING EQUIPMENT DIAGNOSTIC SYSTEM AND ADAPTIVE CONTROLLER

The present invention relates to process control and
5 process monitoring, particularly to control and monitoring
of rotating equipment through the use of machine status
classification where, in one embodiment, adaptive control
measures responsive to the machine status are implemented.

As automation of production facilities and manufacturing
10 processes has progressed, the number of human operators
working with consistent attention to machines used in
those facilities and processes has decreased; in
compensating for this diminishing intimate involvement of
operating technicians with the machines, quality control
15 and quality assurance monitoring with computers programmed
to mirror human logical and intuitive understanding has
gained importance. Automatic diagnostic systems utilize
pattern recognition, embedded rules, and functional
relationships to characterize measurements of the
20 monitored machine in operation; and a human expert
frequently is involved in helping to interpret the
measurements. Expert rule sets, classifiers, neural
network-based analysis, and fuzzy-logic systems are
gradually extending the productivity of human experts in
25 providing automated systems which can generate routine
feedback and status determination. As one example of a
product in this area, Bently Nevada has developed <u>Machine
Condition Manager</u>™ 2000 (Machine Condition Manager is a
trademark of Bently Nevada Corporation) using Gensym
30 Corporation's G2™ (G2 is a trademark of Gensym
Corporation) product.

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An earlier important publication in this area of technology was the Dissertation "Classification of Vibration Signals By Methods of Fuzzy Pattern Recognition" (Klassifikation von Schwingungssignalen mit Methoden der

5   unscharfen Mustererkennung") by Dr. J. Strackeljan (a named inventor in this application) on June 4, 1993 at the Technical University of Clausthal. The work describes an approach and a formalized methodology for a feature extraction process and classification algorithm as a basic

10 element in a new type of integrated system for machine diagnosis and machine operation decision support. Other earlier feature selection publications of note are:

Chang, C., "Dynamic Programming as applied to Feature Subset Selection in a Pattern Recognition System", IEEE

15 Transactions on Systems, Man and Cybernetics, 1973, No. 3, S.166-171;

Chien, Y.T., "Selection and Ordering of Feature Observations in a Pattern Recognition System", Information and Control, 1968, No. 12, pp.394-414;

20 Fu, K.S., "Sequential Methods in Pattern Recognition and Machine learning", Academic Press, New York, 1968;

Fukunaga, K., "Repression of Random Processes using finite Karhuen-Loewe-Expansion", Information and Control, Vol.

25 16, 1970, S. 85--101; and

Fukunaga, K., "Systematic Feature Extraction", IEEE Transactions on pattern Analyses, Nr. 3, 1982.

One of the needs in use of classification systems relates
to handling of anomalous measurements which do not
initially appear to belong to any predefined status class.
There is also a need for a machine diagnosis system which
5   can be configured to diagnose a particular machine within
a few days of the date of installation of the machine.
Another emerging need in the art is for an approach which
assimilates very large classification feature sets as the
number of sensors (and the affiliated number of derived
10   classification features) which can be simultaneously
monitored by one CPU continues to increase. There is also
an ongoing need for new feature types so that the
diagnostic facility of the systems is rendered from an
ever-improving datalogical reference frame. The
15   Strackeljan Dissertation describes an approach for rapidly
and efficiently resolving a large number of predictive
features into a usefully defined subset of those features;
this efficient approach is valuable in providing a basis
for a system which can adapt its learning set in response
20   to anomalous measurements even as it continues to provide
real-time classification services. The present invention
incorporates the approach described in the Strackeljan
thesis along with further developments in providing
solutions to all of the above-identified needs.

25   Further features and details of the invention are
appreciated from a study of the Figures and Detailed
Description of the Preferred Embodiments.

The invention provides a computer-implemented monitoring
system, characterized by:

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a toolbox of machine analysis data feature tools, each
data feature tool having a predetermined set of candidate
data features for a type of sensor and related machine
component in a unified mechanical component assembly;

5   means for designating one said data feature tool for
classifying use respective to at least one defined class;

means for measuring an input signal from said sensor;

means for collecting a plurality of said measured input
signals as a measured input signal set;

10 means for obtaining a human-determined class affiliation
parameter value for each measured input signal in said
measured input signal set;

means for calculating a feature value set respective to
each measured input signal and respective to at least one
15 data feature from said set of candidate data features;

means for deriving a classifier reference parameters
instance from the feature value set and associated human-
determined class affiliation parameter values respective
to said measured input signal set and from a plurality of
20 said candidate data features;

a classifier for defining a computer-determined class
affiliation parameter value for a measured input signal
respective to each class defined, said classifier in data
communication with said classifier reference parameters

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instance to define each computer-determined class
affiliation parameter value;

means for selecting a subset of data features from said
candidate data features, said means for selecting in data
5   communication with said measured input signal set, said
associated human-determined class affiliation parameter
values, said means for deriving a classifier reference
parameter instance, and said classifier;

means for retaining the classifier reference parameters
10   instance respective to said selected subset of features as
a real-time reference parameter set;

means for graphically displaying at least one computer-
determined class affiliation parameter value respective to
an input signal measured in real-time from said assembly
15   and respective to said real-time reference parameter set;
and

a real-time executive means for directing the operation of
said means for measuring input signals, said means for
calculating a feature value set, said classifier, and said
20   means for graphically displaying so that a graphical
display of at least one computer-determined class
affiliation parameter value is implemented in real-time
respective to an input signal measured in real-time from
said assembly.

25   The invention further provides a computer-implemented
monitoring system, characterized by:

a toolbox of machine analysis data feature tools, each data feature tool having a predetermined set of candidate data features for a type of sensor and related machine component in a unified mechanical component assembly;

5 means for designating one said data feature tool for classifying use respective to at least one defined class and a particular sensor;

means for measuring an input signal from said sensor;

means for determining at least-one computer-determined 10 class affiliation parameter value for any said input signal respective to said candidate data features;

means for graphically displaying said class affiliation parameter value respective to said input signal when measured in real-time from said assembly; and

15 a real-time executive means for directing the operation of said means for measuring, said means for determining, and said means for graphically displaying so that a graphical display of at least one computer-determined class affiliation parameter value is implemented in real-time 20 respective to an input signal measured in real-time from said assembly.

The invention further provides a computer-implemented monitoring system for monitoring a sensor and related machine component in a mechanical component assembly, 25 characterized by:

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a predetermined set of candidate data features for classifying said sensor respective to at least two defined classes;

5   means for real-time measurement of an input signal from said sensor;

means for determining a first computer-determined class affiliation parameter value for said input signal from
10   said candidate data feature set in reference to a first classifying parameter set respective to a first class, and a second computer-determined class affiliation parameter value for said input signal from said candidate data feature set in reference to a second classifying parameter
15   set respective to a second class;

means for deriving, during real-time measurement and class affiliation parameter value determination, a third classifying parameter set for said input signal respective
20   to said first class and a fourth classifying parameter set for said input signal respective to said second class when all computer-determined class affiliation parameter values respective to an input signal measurement in real-time have a quantity less than a predetermined threshold value,
25   said third and fourth classifying parameter sets incorporating the influence of said input signal measurement; and

means for replacing said first and second classifying
30   parameter sets respectively with said third and fourth classifying parameter sets so that said third and fourth classifying parameter sets respectively become new said first and second classifying parameter sets when said

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third and fourth classifying parameter sets have been
derived.

The invention further provides a computer-implemented
system for classifying a type of sensor and related
5 machine component in a unified mechanical component
assembly, characterized by:

means for deriving a dimensionless peak amplitude data
feature;

means for measuring an input signal from said sensor;

10 means for obtaining a class affiliation parameter value
for said measured input signal respective to said
dimensionless peak amplitude feature.

The invention further provides a computer-implemented
system for classifying a type of sensor and related
15 machine component in a unified mechanical component
assembly, characterized by:

means for deriving a dimensionless peak separation
feature;

means for measuring an input signal from said sensor;

20 means for obtaining a class affiliation parameter value
for said measured input signal respective to said
dimensionless peak separation feature.

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The invention further provides a computer-implemented
method, characterized by the steps of:

providing a toolbox of machine analysis data feature
tools, each data feature tool having a predetermined set
5   of candidate data features for a type of sensor and
related machine component in a unified mechanical
component assembly;

designating one said data feature tool for classifying use
respective to at least one defined class;

10   measuring an input signal from said sensor;

collecting a plurality of said measured input signals as a
measured input signal set;

obtaining a human-determined class affiliation parameter
value for each measured input signal in said measured
15   input signal set;

calculating a feature value set respective to each
measured input signal and respective to at least one data
feature from said set of candidate data features;

deriving a classifier reference parameters instance from
20   the feature value set and associated human-determined
class affiliation parameter values respective to said
measured input signal set and from a plurality of said
candidate data features;

using a classifier in defining a computer-determined class affiliation parameter value from said classifier reference parameters instance for a measured input signal respective to each class defined;

5   selecting a subset of data features from said candidate data features, said measured input signal set, said associated human-determined class affiliation parameter values, a plurality of said derived classifier reference parameter instances, and said classifier by evaluating a
10 plurality of data feature combinations until acceptable classification is achieved;

retaining the classifier reference parameters instance respective to said selected subset of features as a real-time reference parameter set;

15 classifying in real-time said measured input signal from said real-time reference parameter set to establish a real-time computer-determined class affiliation parameter value; and

graphically displaying in real-time said real-time
20 computer-determined class affiliation parameter value so that a graphical display of at least one computer-determined class affiliation parameter value is implemented in real-time respective to an input signal measured in real-time from said assembly.

25 The invention further provides a computer-implemented method, characterized by the steps of:

providing a toolbox of machine analysis data feature
tools, each data feature tool having a predetermined set
of candidate data features for a type of sensor and
related machine component in a unified mechanical
5   component assembly;

designating one said data feature tool for classifying use
respective to at least one defined class and a particular
sensor;

measuring an input signal from said sensor;

10   determining at least-one computer-determined class
affiliation parameter value for any said input signal
respective to said candidate data features;

graphically displaying said class affiliation parameter
value respective to said input signal when measured in
15   real-time from said assembly; and

directing the operation of said steps of measuring,
determining, and graphically displaying so that a
graphical display of at least one computer-determined
class affiliation parameter value is implemented in real-
20   time respective to an input signal measured in real-time
from said assembly.

The invention further provides a computer-implemented
method for monitoring a sensor and related machine
component in a mechanical component assembly,
25   characterized by the steps of:

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providing a predetermined set of candidate data features
for classifying said sensor respective to at least two
defined classes;

measuring in real-time an input signal from said sensor;

5   determining a first computer-determined class affiliation
parameter value for said input signal from said candidate
data feature set in reference to a first classifying
parameter set respective to a first class;

determining a second computer-determined class affiliation
10   parameter value for said input signal from said candidate
data feature set in reference to a second classifying
parameter set respective to a second class;

deriving, during said real-time measuring and determining
steps, a third classifying parameter set for said input
15   signal respective to said first class and a fourth
classifying parameter set for said input signal respective
to said second class when all computer-determined class
affiliation parameter values respective to an input signal
measurement in real-time have a quantity less than a
20   predetermined threshold value, said third and fourth
classifying parameter sets incorporating the influence of
said input signal measurement; and

replacing said first and second classifying parameter sets
respectively with said third and fourth classifying
25   parameter sets so that said third and fourth classifying
parameter sets respectively become new said first and
second classifying parameter sets when said third and
fourth classifying parameter sets have been derived.

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The invention further provides a computer-implemented method for classifying a type of sensor and related machine component in a unified mechanical component assembly, characterized by:

5   deriving a dimensionless peak amplitude data feature;

measuring an input signal from said sensor;

obtaining a class affiliation parameter value for said measured input signal respective to said dimensionless peak amplitude feature.

10   The invention further provides a computer-implemented method for classifying a type of sensor and related machine component in a unified mechanical component assembly, characterized by:

deriving a dimensionless peak separation feature;

15   measuring an input signal from said sensor;

obtaining a class affiliation parameter value for said measured input signal respective to said dimensionless peak separation feature.

The invention further provides a computer-implemented
20   method for classifying a type of sensor and related machine component in a unified mechanical component assembly, characterized by the steps of:

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defining a feature set for classification from a set of
candidate features and a learning database using
evolutionary selection, said learning database having a
set of evaluated instances, said evolutionary selection
5   having the sequential operations of:

     defining a population size for a population of
     feature combination instances;

     defining a set of evaluation features for said
     population from said set of candidate features;

10   defining an evaluation feature set size;

     randomly selecting, from said candidate
     features, a population instance of feature set
     instances of said evaluation feature set size,
     said population instance having said population
15   size;

     training a classifier according to said
     population instance and said learning database;

     evaluating the prediction capability of each
     feature set instance using said trained
20   classifier;

     designating said feature set instance as a real-
     time classification feature set if said
     evaluating fulfills a criteria;

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selecting, if said criteria is unfulfilled, a
subset group of said feature set instances
according to said evaluated prediction
capabilities;

5   generating a child subset group of said feature
set instances by randomly selecting one of said
features from each of two randomly chosen
feature set instances and combining each of said
selected features into a new feature set
10   instance;

mutating said new feature set instance by
randomly selecting one of said features in said
new feature set instance and replacing said
selected feature with a randomly selected
15   feature from said set of evaluation features for
said population with the proviso that said
replacement feature is other than either of said
features in said new feature set instance prior
to initiation of said mutating operation;

20   defining a new population instance from said
subset group and at least one said mutated
feature set instance with the proviso that said
mutating operating is executed until said new
population instance achieves said population
25   size; and

returning to said training operation;

acquiring a set of features in real-time from said sensor;
and

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classifying said acquired set of features by using said
real-time classification feature set.

Other features, advantages, and benefits of the invention
are readily apparent from the detailed description of the
5   preferred embodiments when taken in connection with the
accompanying drawings.

Figure 1 presents a block diagram of the monitoring system
and auxiliary systems as they operate and monitor a
manufacturing apparatus.

10   Figure 2 shows detail in the galvanic isolation and signal
filtering board.

Figure 3 shows the band pass filter circuit used on the
galvanic isolation and signal filtering board.

Figure 4 presents a block flow overview of key logical
15   components of the monitoring system.

Figure 5 presents a block flow overview of signal
conditioning logical components of the monitoring system.

Figure 6 presents a block flow diagram of the real-time
executive logic in the monitoring system.

20   Figure 7 presents detail of functions performed at the
direction of the real-time control block.

Figure 8 presents a block flow diagram of the human
interface logic in the monitoring system.

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Figures 9A and 9B present a block flow diagram of the
pattern recognition logic in the monitoring system. .

Figure 10 presents detail in a decision function set of
the pattern recognition logic.

5   Figure 11 presents a block flow diagram of the signal and
data I/O and logging logic in the monitoring system.

Figure 12 presents detail in the tool-specific feature
derivation functions.

Figure 13 presents a block flow diagram of the reference
10   data logic in the monitoring system.

Figure 14 presents details for a machine analysis toolbox.

Figure 15 presents an overview flowchart of the
organization of key information in constructing and using
preferred embodiments.

15   Figure 16 presents a flowchart of key classification
steps.

Figure 17 presents a flowchart detailing decisions in use
of progressive feature selection, evolutionary feature
selection, neural network classification, and weighted
20   distance classification.

Figure 18 presents detail in the weighted distance method
of classifying and progressive feature selection.

Figure 19 illustrates auxiliary detail in the progressive feature selection process of Figure 18.

Figure 20 presents detail in the neural network method of classifying and in evolutionary feature selection.

5 Figures 21A-21D illustrate detail in an evolutionary feature selection example.

Figure 22 presents an overview of interactive methods and data schema in the preferred embodiments for use of the weighted distance classification method and a progressive

10 feature selection methodology.

Figure 23 presents an overview of interactive methods and data schema in the preferred embodiments for use of the neural network classification method and an evolutionary feature selection methodology.

15 Figure 24 presents a unified mechanical assembly of machine components and attached sensors.

Figure 25 presents a block flow summary showing toolbox development information flow for a particular set of unified mechanical assemblies and machine components.

20 Figure 26 presents a view of key logical components, connections, and information flows in use of the monitoring system in a monitoring use of the preferred embodiment.

Figure 27 presents a view of key logical components, connections, and information flows in use of the monitoring system in an adaptive control use of the preferred embodiment.

5   Figure 28 shows an example of a graphical icon depiction of class affiliation parameter values in normalized form.

Figure 29 shows an example of a graphical icon depiction of class affiliation parameter values in non-normalized form.

10   In describing the preferred embodiments, a number of "logical engines" ("engines") are characterized in interaction with data structural elements. In this regard, computer-implemented logical engines generally reference virtual functional elements within the logic of a computer
15   which primarily perform tasks which read data, write data, calculate data, and perform decision operations related to data. "Logical engines" ("engines") optionally provide some limited data storage related to indicators, counters, and pointers, but most data storage within computer-
20   implemented logic is facilitated within data structural elements (data schema) which hold data and information related to the use of the logic in a specific instance; these data structural element logical sections are frequently termed as "tables", "databases", "data
25   sections", and/or "data commons". Data structural elements are primarily dedicated to holding data instead of performing tasks on data and usually contain a generally-identified stored set of information. "Logical engines" ("engines") within computer-implemented logic
30   usually perform a generally identified function. As a

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design consideration, the use of both logical engines and logical tools within a logical system enables a useful separation of the logical system into focused or abstracted subcomponents which can each be efficiently
5   considered, designed, studied, and enhanced within a separately focused and distinctively particularized context. As should be apparent, some of the logical internal systems represent distinctive areas of specialty in their own right, even as they are incorporated into the
10   comprehensive and holistic system represented by each of the described embodiments. In one context, specific engines are individual executable files, linked files, and subroutine files which have been compiled into a unified logical entity. Alternatively, specific engines are
15   combinations of individual executable files, linked files, subroutine files, and data files which are datalogically linked either in unified form or in a dynamically associated manner by the operating system during execution.

20   The specification also references the term "Real-Time" (real-time, real time, Real-time); to facilitate clarity, the following paragraph presents a discussion of the Real-Time concept.

Real-time computer processing is generically defined as a
25   method of computer processing in which an event causes a given reaction within an actual time limit and wherein computer actions are specifically controlled within the context of and by external conditions and actual times. As an associated clarification in the realm of process
30   control, real-time computer-controlled processing relates to the performance of associated process control logical, decision, and quantitative operations intrinsic to a

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process control decision program functioning to monitor
and modify a controlled apparatus implementing a real-time
process wherein the process control decision program is
periodically executed with fairly high frequency usually

5   having a period of between 10 ms and 2 seconds, although
other time periods are also utilized. In the case of
"advanced" control routines (such as the classifier of the
described embodiments) where a single solution instance
requires more extended computational time, a larger period

10 is essentially necessary (frequency in determination of
changes in control element settings should be executed at
a frequency equal-to-or-less-than the frequency of
relevant variable measurement); however, an extended
period for resolution of a particular value used in

15 control is still determined in real-time if its period of
determination is repetitive on a reasonably predicable
basis and is sufficient for utility in adaptive control of
the operating mechanical assembly.

A measuring sensor attached to an apparatus usually
20 outputs a voltage or voltage equivalent responsive to an
attribute of the operational apparatus (for example, an
open valve or an energized pump) and/or conditions (for
example, fluid temperature or fluid pressure) in the
materials operationally processed by the apparatus.

25 A signal (measured signal) represents the magnitude of the
voltage either as a data value at a particular moment of
time or, alternatively, as a set of data values where each
data value has an explicit or implicit (via sequential
ordering) association with a time attribute. The term

30 "signal" in many instances also references the voltage or
voltage history as converted to data value
representation.

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The signal is evaluated in the context of a function to derive specific signal function attributes; these signal attributes are also termed features (Features) both (a) as a descriptive term generally and also (b) as a reference

5 variable in pattern-matching processes such as "classification". In this regard, Features frequently reference a variable possessing a joining consideration or datalogical nexus between (a) an attribute derived in the context of a function from the measured signal and (b) a

10 variable used in a classifier. A feature value generally represents a particular quantitative data value which has been assigned-to and associated-with a feature variable respective to a signal measurement instance.

Classifiers generally associate features – more
15 specifically, patterns of features – with a membership (association, belonging, and/or affiliation) of the operational apparatus (generating the features) in a particular momentary status of identified useful categorization (a class); in this regard, membership is
20 either (a) a designation, in one context, of belonging to the class or (b) a designation, in an alternative context, of not belonging to the class. Classes frequently are representative of human quality evaluations and/or judgements (for example a "good" class, a "bad" class,
25 and/or a "transitional" class which represent, respectively, a "good" state of operational performance, a "bad" state of operational performance, and/or an "uncertain or transitioning" state of operational performance). Membership also references a degree of
30 belonging to a class – for example in a two class evaluation, a degree of affiliation with the two classes is characterized as "the current state of the system is 90 percent 'good' and 10 percent 'bad' "; more precisely, the

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concept of "sharpness" further references the
quantitative confidence with which a particular classified
measurement instance (in the context of its affiliated
classifying feature set) is clearly affiliated with any
5 class of the set of candidate classes for which membership
is derived.

In classifying, Weighted Distance Classification and
Euclidian Distance Classification reference certain
overlapping situations; accordingly, references to
10 Weighted Distance Classification herein implicitly
includes appropriate use of Euclidian Distance
Classification in the context of these similarities. In
this regard, classification performance strongly depends
on the ability of a particular classifier to adapt the
15 distribution of a particular learning sample in an optimal
manner. If a set of learning samples is represented in an
essentially spherical distribution for all classes, the
Euclidian metric is sometimes used. If the distribution
is ellipsoidal, Weighted Distance approaches are optimal
20 in coordinate directions weighted individually. In this
regard, marginal samples are appraised similarly
respective to different Euclidian distances. Essentially,
the Euclidian metric is a special form of a Weighted
Distance metric (when the weights are essentially equal
25 for all directions); the inventors prefer, therefore, the
use of a weighted distance classifier in general.

Turning now to the figures, Figure 1 presents a block
diagram of the monitoring system and auxiliary systems as
they operate and monitor a manufacturing apparatus.
30 System Overview 100 presents key physical components in an
fully applied embodiment. Monitor 102 provides a monitor
for human (operator technician and configuration expert)

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viewing of information and data. Process Information System 104 provides a process information system (a system for retaining and depicting information to operating technicians about data executing in an affiliated,

5   attached, and interconnected real-time control system or group of real-time control systems but which is not under the highly rigorous real-time response cadence of a real-time control system for its communications) in bilateral data communication via Communications Interface 106 with

10   Control Computer 108. Process Information System 104 incorporates Process Information CPU 134 for execution of Process Information Logic 136. Communications Interface 106 incorporates Communication Interface CPU 130 for execution of Communication Interface Logic 132. Control

15   Computer 108 incorporates Control Computer CPU 126 for execution of Control Computer Logic 128 in real-time operational monitoring and control of Mechanical Assembly 124. Classification Computer System 110 provides Classification Computer CPU 138 for executing

20   Classification Computer Logic 140 in implementing classification of the status of Mechanical Assembly 124. System Overview 100 is in bilateral data communication with Process Information System 104 for receiving a portion of input data as a data stream and for

25   communicating the classification status of Mechanical Assembly 124 to Control Computer 108 so that Control Computer 108 controls Mechanical Assembly 124 in adaptive response to the classified status. Classification Computer System 110 also receives input data from Analog

30   Input Signal 118 and Digital Input Signal 116 via Signal Filtering Board 114 and Data Acquisition Board 112. Data Acquisition Board 112 incorporates Analog-to-Digital-Converter Circuit 142 to effect conversion of analog voltages from Signal Filtering Board 114 into digital

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data. Signal Filtering Board 114 incorporates Band-Pass-
Filter Circuit 144 as further described in Filter Circuit
Components 200 and Filter Circuit 300 of Figures 2 and 3.
Digital Input Signal 116 is provided both as a direct

5   signal to Signal Filtering Board 114 and to Control Signal
Input Circuitry 148 where Control Signal Input Circuitry
148 is synchronous with the needs of Control Computer 108.
Analog Input Signal 118 is provided both as a direct
signal to Signal Filtering Board 114 and to Control Signal

10   Input Circuitry 148 where Control Signal Input Circuitry
148 is appropriately synchronous with Control Computer
108. Digital Output Signal 120 and Analog Output Signal
122 provide output command signals from Control Signal
Output Circuitry 150 to Mechanical Assembly 124 so that

15   Control Computer 108 implements manipulated variables to
modify attributes of Mechanical Assembly 124 and thereby
control the operation of Mechanical Assembly 124 in real-
time. An example of Control Computer 108 is described in
WO Publication No. 00/65415, dated November 2, 2000,

20   entitled "PROCESS CONTROL SYSTEM WITH INTEGRATED SAFETY
CONTROL SYSTEM".

Mechanical Assembly 124 is a mechanical component
assembly, which benefits from Classification Computer
System 110 (1) by the provision of information to an

25   operating technician of the classified status of the
operating assembly and (2), optionally, by the
incorporation of the classified status into control
decisions effected by Control Computer Logic 128. The
classified status is communicated to Control Computer

30   Logic 128 via Process Information System 104 and
Communications Interface 106. Mechanical Assembly 124 is,
alternatively, in example and without limitation, a motor,
a gearbox, a centrifuge, a steam turbine, a gas turbine, a

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gas turbine operating with the benefit of wet compression, a chemical process, an internal combustion engine, a wheel, a furnace, a transmission, or an axle. With respect to wet compression, US Patent 5,867,977 for a

5   "Method and Apparatus for Achieving Power Augmentation in Gas Turbines via Wet Compression" which issued on February 9, 1999 to Richard Zachary and Roger Hudson and also US Patent 5,930,990 which issued on August 3, 1999 to the same inventors provide a useful teaching of a gas turbine

10 operating with the benefit of wet compression.

Network 146 is in bilateral data communication with Classification Computer System 110 and provides an interface via network with other systems. In an alternative embodiment, Process Information System 104

15 interfaces with Classification Computer System 110 via Network 146; in a further alternative embodiment, Communications Interface 106 interfaces with Classification Computer System 110 via Network 146. Control Signal Input Circuitry 148 generically references

20 a set of circuits which are respectively specific to Digital Input Signal 116 and Analog Input Signal 118 in interfacing to Control Computer 108.

Details in Process Information System 104, Communications Interface 106, Control Computer 108, Network 146, and Data

25 Acquisition Board 112 should be apparent to those of skill and are presented here briefly to enable a framed understanding of preferred embodiments and their use. Details in Classification Computer Logic 140 and Signal Filtering Board 114 are focal in most subsequent

30 discussion in this specification.

Figure 2 shows detail in the galvanic isolation and signal
filtering board. Filter Circuit Components 200 shows
further detail in Signal Filtering Board 114. Frequency
Module 202 presents construction details in Frequency
5   Modules 206. Band-Pass-Filter Circuitry Board 204 shows
an embodiment of Signal Filtering Board 114 with a set of
Frequency Modules 206, a set of Transformers 208, and a
set of Input Capacitors 210 in electrical mounting as
shown. As previously noted, a instance of Frequency
10   Modules 206 is further detailed in Frequency Module 202
which is provided in 5 separate instances on Band-Pass-
Filter Circuitry Board 204. Transformer 208 is provided
in 5 separate instances on Band-Pass-Filter Circuitry
Board 204. Input Capacitors 210 are also provided in 5
15   separate instances on Band-Pass-Filter Circuitry Board
204. Signal Wire Terminators 212 provide 5 separate
wiring terminations for use in interfacing 5 separate
instances of Analog Input Signal 118 to Data Acquisition
Board 112. It should be noted that Digital Input Signal
20   116 is optionally routed in a pass-through manner to
Classification Computer System 110 via Signal Filtering
Board 114 and Data Acquisition Board 112, but most signals
used by Classification Computer System 110 are of the
Analog Input Signal 118 type. Frequency Capacitor "a"
25   214, Frequency Capacitor "b" 218, and Frequency Capacitor
"c" 222 provide respective first, second, and third
capacitors in Frequency Module 202. Frequency Inductor
"a" 216 and Frequency Inductor "b" 220 provide respective
first and second inductors in Frequency Module 202.

30   Figure 3 shows the band pass filter circuit used on the
galvanic isolation and signal filtering board. Filter
Circuit 300 shows one band pass filter circuit which is
established by the combination of Input Capacitors 210

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instance C1, Transformers 208 instance T1, and Frequency Modules 206 instance M1 with C_{a1} mapping to Frequency Capacitor "a" 214, L_{a1} mapping to Frequency Inductor "a" 216, C_{b1} mapping to Frequency Capacitor "b" 218, L_{b1} mapping to Frequency Inductor "b" 220, and C_{c1} mapping to Frequency Capacitor "c" 222. These are preferably characterized according to the following criteria of Table 1:

Table 1

Upper cut-off frequency: $f_g = 2\ Khz$ Upper Cut-off Frequency $f_g = 20\ Khz$

C 210	$10\ \mu F/100V$	L_a	$47\ \mu H$		C 210	$10\ \mu F/100V$	L_a	$47\ \mu H$
C_a	$330\ nF/100V$	L_b	$47\ \mu H$		C_a	$47\ nF/100V$	L_b	$47\ \mu H$
C_b	$330\ nF/100V$				C_b	$47\ nF/100V$		
C_c	$330\ nF/100V$				C_c	$47\ nF/100V$		

T 208   ST 6353 (signal transformer)

L_a, L_b: Micro coils

In one embodiment having two instances of Band-Pass-Filter Circuitry Board 204, a beneficial arrangement of Band-Pass-Filter Circuit 144 instances is shown in Table 2.

Table 2

Band-Pass-Filter Circuit 144 Configuration

I/O Channel 212	Frequency
S0	20 Khz
S1	20 Khz
S2	20 Khz
S3	2 Khz
S4	2 Khz
S5	20 Khz
S6	20 Khz
S7	20 Khz
S8	2 Khz
S9	2 Khz

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Figure 4 presents a block flow overview of key logical
components of the monitoring system. Classifying Logic 400
provides a first nested opening of Classification Computer
Logic 140. Real-Time Executive Logic 402 is in bilateral
5   data communication with Reference Data Logic 404, Human
Interface Logic 412, Pattern Recognition Logic 406, and
Signal I/O Logic 408 and is further discussed with respect
to Real-Time Logic Detail 600 and Real-Time Function
Detail 700 of Figures 6 and 7. As should be apparent,
10   Real-Time Executive Logic 402 provides execution
enablement data signals and multi-process and/or
multitasking interrupts to all engines and other
executable logic of Reference Data Logic 404, Human
Interface Logic 412, Pattern Recognition Logic 406, and
15   Signal I/O Logic 408 as needed and receives feedback and
flagging inputs so that responsive logic is executed in a
unified and coordinated real-time cadence. Reference Data
Logic 404 also is in bilateral data communication with
Human Interface Logic 412 and Pattern Recognition Logic
20   406 and is further discussed with respect to Reference
Data Detail 1300 and Toolbox 1400 of Figures 13 and 14.
Pattern Recognition Logic 406 also is in bilateral data
communication with Signal I/O Logic 408 and Human
Interface Logic 412 and is further discussed with respect
25   to Pattern Recognition Logic Detail 900 and Decision
Function Detail 1000 of Figures 9A, 9B, and 10. Signal
I/O Logic 408 also is in bilateral data communication with
Human Interface Logic 412 and is in data reading
communication with Signal Conditioning Logic 410 and is
30   further discussed with respect to Signal Logic Detail 1100
and Derivation Functions 1200 of Figures 11 and 12.
Signal Conditioning Logic 410 reads Analog Input Signal
118 and Digital Input Signal 116 and provides values via
read access to Signal I/O Logic 408; this logical section

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is further discussed respective to Signal Conditioning
Detail 500 of Figure 5. Human Interface Logic 412
interfaces to Monitor 102 to provide an interface with
operating technicians; this logic is further detailed in
5   the discussion respective to Interface Logic Detail 800 of
Figure 8.

Figure 5 presents a block flow overview of signal
conditioning logical components of the monitoring system.
10   Signal Conditioning Detail 500 provides further detail in
Signal Conditioning Logic 410 and also reprises Signal I/O
Logic 408 along with Analog Input Signal 118 and Digital
Input Signal 116 for reference. Analog Signal Input
Buffer 504 holds data from Analog Value Input Logic 510 so
15   that Signal I/O Logic 408 can read the data in a timely
manner. Digital Signal Input Buffer 506 holds data from
Digital Value Input Logic 508 so that Signal I/O Logic 408
can read the data in a timely manner. Digital Value Input
Logic 508 provides a logical engine for real-time
20   acquisition of Digital Input Signal 116 and interface of
Digital Input Signal 116 to Digital Signal Input Buffer
506. It is again noted that use of Digital Input Signal
116 is relatively minimal at this time in the described
embodiments, but use of Digital Input Signal 116 signals
25   is certainly possible in certain contemplated
circumstances (for example, without limitation, a machine
"trip" indicator). The Analog Value Input Logic 510
engine provides logic necessary for real-time operation of
Analog-to-Digital-Converter Circuit 142 and interface of
30   Analog Input Signal 118 to Analog Signal Input Buffer 504.

Figure 6 presents a block flow diagram of the real-time
executive logic in the monitoring system. Real-Time Logic
Detail 600 provides further detail in Real-Time Executive

Logic 402 and also reprises Reference Data Logic 404,
Pattern Recognition Logic 406, Human Interface Logic 412,
and Signal I/O Logic 408 for reference. Real-Time
Executive Engine 602 contains Control Block 604 for
5   providing cadenced execution of Classification Computer
Logic 140. In this regard, Control Block 604 contains
sub-logic for substantially directing Classification
Computer CPU 138 to implement Classifying Logic 400 in
achieving the goals of the classifying system using either
10   multi-process or multi-tasking approaches. Control Block
604 interfaces with routines in Function Set 606 in
implementation of Classification Computer Logic 140.
Further detail in Function Set 606 is presented in the
discussion with respect to Real-Time Function Detail 700
15   of Figure 7. Control Block 604 is also responsive to
status indicators as indicated in Mode ID 608. The
"Configure", "Learn", and "Run" modes of operation are
defined in one embodiment via input from Human Interface
Logic 412 with human designation of the particular active
20   mode at any particular time.

Figure 7 presents detail of functions performed by use of
the real-time control block. Real-Time Function Detail
700 shows further detail in Function Set 606. In this
25   regard, the internal functions of Function Set 606 are in
bilateral data communication (that is, data read
communication and data write communication in both
directions as appropriate) with Control Block 604.
Hardware Configuration Function 702 provides code in
30   interfacing Human Interface Logic 412 to Signal I/O Logic
408 for configuring Classification Computer System 110 to
a particular set of Analog Input Signals 118 and Digital
Input Signals 116. Sample Collection Function 704
provides code in interfacing Human Interface Logic 412 and

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Signal I/O Logic 408 in acquiring sample data for use in customizing System Overview 100 to a particular Mechanical Assembly 124. Database Acquisition Function 706 provides code in interfacing Human Interface Logic 412 and

5 Reference Data Logic 404 to load learning databases into system 110. Tool Selection Function 708 provides code in interfacing Human Interface Logic 412 and Reference Data Logic 404 to define tools for use with particular signals. Component Selection Function 710 provides code in

10 interfacing Human Interface Logic 412 and Reference Data Logic 404 in defining components which can then define tools. Feature Calculation Function 712 provides code in interfacing Reference Data Logic 404 and Signal I/O Logic 408 to calculate features for use in Pattern Recognition

15 Logic 406. Feature Selection Function 714 provides code in interfacing Reference Data Logic 404 and Pattern Recognition Logic 406 in selecting features for classification use. Learning Function 716 provides code in interfacing Reference Data Logic 404, Human Interface

20 Logic 412, and Pattern Recognition Logic 406 in implementing a learning process to acquire a learning database. Classifier Definition Function 718 provides code in interfacing Reference Data Logic 404, Human Interface Logic 412, and Pattern Recognition Logic 406 in

25 defining a classifier. Real-Time Characterization Function 720 provides code in interfacing Reference Data Logic 404, Signal I/O Logic 408, Pattern Recognition Logic 406, and Human Interface Logic 412 in implementing real-time membership value determinations to classify

30 Mechanical Assembly 124 in operation. Adaptation Function 722 provides code in interfacing Human Interface Logic 412, Reference Data Logic 404, Pattern Recognition Logic 406, and Signal I/O Logic 408 in implementing adaptation of the classifying system in real-time to assimilate

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learning related to measured signals or data which are not
classifiable to an acceptable confidence with the existing
classifier. Network Interfacing Function 724 provides
code in interfacing Signal I/O Logic 408 and Human
5   Interface Logic 412 with Network 146 or Process
Information System 104. Display Function 726 provides
code in interfacing Signal I/O Logic 408 and Human
Interface Logic 412 and further in interfacing Human
Interface Logic 412 and Monitor 102 so that an operating
10   technician is apprised of the classification status of
Mechanical Assembly 124 in operation.

Figure 8 presents a block flow diagram of the human
interface logic in the monitoring system. Interface Logic
15   Detail 800 presents expanded detail of Human Interface
Logic 412. Real-Time Executive Logic 402, Reference Data
Logic 404, Signal I/O Logic 408, and Pattern Recognition
Logic 406 are reprised from Figure 4. Graphical Output
Engine 802 is in bilateral data communication with Real-
20   Time Executive Logic 402 for (1) data write communicating
the occurrence of anomalous measured vectors (to
Adaptation Function 722) as determined by Rework Engine
810 (and communicated from Associative Value Engine 812),
(2) data read communication from functions in Function Set
25   606 which output information to the operating technician,
and (3) receipt of multi-process and/or multitasking
interrupts and execution enablement data signals from
Real-Time Executive Logic 402. Graphical Output Engine
802 is in data reading communication with Signal I/O Logic
30   408, Reference Data Logic 404, and Associative Value
Engine 812 so that data from these sections is output to
the operating technician. Graphical Input Engine 804
interfaces the keyboard or other input device associated
with Monitor 102 in bilateral data communication with

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Real-Time Executive Logic 402 for execution-enablement data signals, multi-process and/or multitasking interrupts, and data input to Function Set 606 and Mode ID 608. Graphical Input Engine 804 is in data writing communication with Reference Data Logic 404, Pattern Recognition Logic 406, and Characterization Selection Routine 806 so that data is input from the operating technician to these logical sections as needed. Graphical Input Engine 804 also is in bilateral data communication with Learning Data Loading Engine 808 to facilitate operating technician activation of loading of learning database data and toolbox data (discussion with respect to Figures 13 and 14) into Signal I/O Logic 408 and Reference Data Logic 404. Graphical Input Engine 804 optionally contains Input Function Set 814 for enabling particular data sets to be defined as a group for communication in a unified data write operation. Characterization Selection Routine 806 is in data reading communication with Graphical Input Engine 804 and is in data writing communication with Pattern Recognition Logic 406 to enable operating technician selection of either a Neural Network or Weighted Distance Classifier for use in classification. Learning Data Loading Engine 808 interfaces to Signal I/O Logic 408 for networked data or to a disk or CD-ROM (not shown) in Classification Computer System 110 in loading of learning database data and toolbox data into Signal I/O Logic 408 and Reference Data Logic 404. Rework Engine 810 is in bilateral data communication with Associative Value Engine 812 in evaluating memberships determined in Associative Value Engine 812 as part of identifying anomalous measured vectors and notifying Real-Time Executive Logic 402 as described above. Rework Engine 810 also is in data writing communication with Signal I/O Logic 408 for flagging retention of anomalous measurements

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to the attention of the operating technician. Associative
Value Engine 812 is in data reading communication with
Signal I/O Logic 408 for receiving membership values and
determining appropriate membership value display data (for
5 example, without limitation, basic or normalized form).
Associative Value Engine 812 is in bilateral data
communication with Rework Engine 810 and is in data
writing communication with Graphical Output Engine 802 for
purposes previously discussed.
10

Figures 9A and 9B present a block flow diagram of the
pattern recognition logic in the monitoring system.
Pattern Recognition Logic Detail 900 presents detail in
Pattern Recognition Logic 406. Signal I/O Logic 408,
15 Reference Data Logic 404, Real-Time Executive Logic 402,
and Human Interface Logic 412 are reprised from Figure 4.
Evolutionary Feature Selector 902 is in bilateral data
communication with Reference Data Logic 404 for receiving
learned data and toolbox data (Figures 13 and 14) needed
20 in defining a set of features for use in classification.
Evolutionary Feature Selector 902 implements random
selection of a plurality of feature sets where each
individual set of features is then used by Weighted
Distance Classifier 906 or Neural Net Engine 908 in
25 defining a classifier; the classifier is then used to
evaluate the memberships of individual test measurements;
the evaluations are then compared to judgments from a
human expert to define the most acceptable sets of
features in the plurality of feature sets. The most
30 acceptable feature sets are then either enhanced or
randomly cross-mutated (Figures 21A-21D) on a feature-by-
feature basis to define a new plurality of feature sets.
When an acceptable threshold of classification confidence
is achieved, the feature set achieving the threshold is

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then used to classify Mechanical Assembly 124. A further
discussion of the evolutionary operation of Evolutionary
Feature Selector 902 is presented in the discussions of
Evolutionary Feature Selection Process 1900 of Figure 20

5   and in the Example illustrated by Figures 21A-21D.
Evolutionary Feature Selector 902 is in bilateral data
communication with Selected Feature Stack 910 to store
most acceptable feature sets; Evolutionary Feature
Selector 902 is in bilateral data communication with

10 Neural Net Engine 908 and Weighted Distance Classifier 906
for classifying feature sets and evaluating results.
Evolutionary Feature Selector 902 is in data reading
communication with neural network Parameter Instance 912
and in data writing communication with NN Real-Time

15 Parameters 914 for reading and storing the final selected
set of features and classification reference parameters
(weighting matrix and adaptation parameters) for real-time
use. As should also be apparent, Evolutionary Feature
Selector 902 is in bilateral data communication with Real-

20 Time Executive Logic 402 for execution enablement data
signals, multi-process and/or multitasking interrupts, and
data input to Function Set 606.

Progressive Feature Selector 904 is in bilateral data

25 communication with Reference Data Logic 404 for receiving
learned data and other toolbox data needed in defining a
set of features for use in classification. Progressive
Feature Selector 904 implements a routine of progressively
evaluating an iteratively decreased plurality of feature

30 sets where each set of features is used by Weighted
Distance Classifier 906 or Neural Net Engine 908 in
defining a classifier; the classifier is then used to
evaluate the memberships of individual test measurements;
and the evaluations are then compared to judgments from a

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human expert to define the most acceptable sets of
features in the plurality of feature sets. The features
of the most acceptable feature set are then enhanced with
features not in the acceptable set to define a new

5   plurality of feature sets. When an acceptable threshold
of classification confidence is achieved, the feature set
achieving the threshold is then used to classify
Mechanical Assembly 124. A further discussion of the
progressive selection operation of Progressive Feature

10   Selector 904 is presented in discussion of Progressive
Feature Selection Process 1800 of Figure 18 and in
auxiliary detail in Figure 19. Progressive Feature
Selector 904 is in bilateral data communication with
Selected Feature Stack 910 to stack the most acceptable

15   features during the process of evaluation; the stacking
enables efficient use of memory in retaining the desired
features. Progressive Feature Selector 904 is in bilateral
data communication with Neural Net Engine 908 and Weighted
Distance Classifier 906 for classifying feature sets and

20   evaluating results. Progressive Feature Selector 904 is in
data writing communication with Weighted Distance Real-
Time Parameters 916 for storing the final selected set of
features and classification reference parameters (decision
function set and decision feature set) for real-time use.

25   As should also be apparent, Progressive Feature Selector
904 is in bilateral data communication with Real-Time
Executive Logic 402 for multi-process and/or multitasking
interrupts, execution enablement data signals, and data
input to Function Set 606.

30

Weighted Distance Classifier 906 is a weighted distance
classifier as generally understood in the art. Examples
of such classifiers are described in:

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Bezdek, J.C., "Pattern Recognition with Fuzzy Objective Function Algorithm", Plenum Press, New York, 1981;

Gath, I., "Unsupervised Optimal Fuzzy Clustering", IEEE Trans, Pattern Analysis and Machine Intell., Juli 1989;

Jollife I.T., "Principle Component Analysis", Springer Verlag 1986;

Kandal, A., "Fuzzy Techniques in Pattern Recognition", John Wiley, New York, 1982;

Kittler, J., "Mathematical Methods of Feature Selection in Pattern Recognition", International Journal on Man-Machine Studies, 1975, No. 7, S. 609-637;

Mahalanobis, P.C., "On the generalized distance in statistics", Proc. Indian Nat. Inst. Sci. Calcutta, 1936, S. 49-55;

Watanabe, S., "Karhuen-Loewe Expansion and Factor Analysis", Transactions 4th Prague Conference on Information Theory, 1965, S. 635—660;

Zimmermann, H.J., "Fuzzy Set Theory and its Applications", Kluver Academic Publishers, 1991;

(Previously referenced) Strackeljan, J., "Klassifikation von Schwingungssignalen mit Methoden der unscharfen Mustererkennung", Dissertation TU Clausthal, 1993; and

Strackeljan, J., Weber, R., "Quality Control and
Maintenance", In: Fuzzy Handbook Prade and Dubois,
Vol. 7 Practical Applications of Fuzzy

5   Technologies, Nov. 1999, Kluwer Academic
Publisher.

Neural Net Engine 908 is a neural network classifier as
generally understood in the art. An example of such a

10   classifier is described in

Rumelhart, D.E., McClelland, J.L. and the PDP
Research group, "Parallel Distributed
Processing", MIT Press, Cambridge, MA, 1986

15

and

Pao, Y.H., "Adaptive Pattern recognition and
Neural Networks", Addison-Wesley Publishing

20   Company, 1989.

In addition to previously discussed data communications,
Weighted Distance Classifier 906 and NN Logical Engine 908
are in bilateral data communication with Signal I/O Logic

25   408 for implementing real-time classification of
Mechanical Assembly 124.

NN (Neural Network) Parameter Instance 912 is in bilateral
data communication with Neural Net Engine 908 for holding
interim features (real-time Neural Network Feature Set

30   934) and neural network data (Real-Time Weighting Matrix
932) during classifier definition. NN Real-Time
Parameters 914 provides Weighting Matrix and Adaptation
Parameters Instance 928 and Neural Network Feature Set 930

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to Neural Net Engine 908 for real-time evaluation of Mechanical Assembly 124. During adaptation to define a new classifier, NN Real-Time Parameters 914 continues to provide real-time classification of Mechanical Assembly

5   124 even as Neural Network Parameter Instance 912 is used during the definition of a further improved parameter set for use with Neural Net Engine 908. Weighted Distance Real-Time Parameters 916 provides Decision Function Set 924 and Decision Feature Set 926 to Weighted Distance

10   Classifier 906 for real-time evaluation of Mechanical Assembly 124. During adaptation to define a new classifier, Weighted Distance Real-Time Parameters 916 continues to provide real-time classification of Mechanical Assembly 124 even as Weighted Distance

15   Parameter Instance 918 is used during the definition of a further improved parameter set for use with Weighted Distance Classifier 906. Weighted Distance Parameter Instance 918 is in bilateral data communication with Weighted Distance Classifier 906 for holding interim

20   features (Decision Feature Set 922) and Weighted-Distance Classifier data (Decision Function Set 920) during classifier definition.

As previously referenced, Selected Feature Stack 910 stacks the most acceptable features during the process of

25   evaluation; the stacking enables efficient use of memory in retaining the desired features. In this regard, the features of the first-evaluated feature sets are automatically retained in the initial feature set until the stack is full; thereafter, features which demonstrate

30   superior classification performance supplant the lower performing features in the stack.

Stack 910 is appreciated in reference to the reclassification rate (predictive capability and/or error) concept. On the basis of a classified learning sample for which an unambiguous class assignment is performed prior

5 to use for each random sample collected during a learning phase, a measure of appraisal is obtained by reclassifying the learning sample with the respective classification algorithm and a selected subset of classifying data. The ratio of (a) the number of random samples correctly

10 classified in accordance with the given class assignment to (b) the total number of random samples investigated provides (c) a measure of the reclassification rate, error, and predictive capability of the particular evaluated classifier and selected classifying data; as

15 should be appreciated, the goal of the process is ultimately to obtain a very small reclassification error. In the ideal case, (a) the decision on class assignment for reclassification agrees with (b) the class subdivision of the learning sample for all objects on the basis of

20 the maximal alignment of the two membership determinations (that is, the best feature combination is the one that provides the very best alignment between the first determinations of the human expert and the subsequent determinations of the trained classifier respective to the

25 each of the particular feature combinations tested for that alignment). The advantage of the reclassification error concept is the possibility of determining conclusive values even with a small number of random samples.

Separation sharpness is also a key factor in the example.

30 The classification decision gains unambiguity if the distance between the two largest class memberships increases. Based on these membership values, a sharpness factor is defined; the sharpness factor is considered in

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the selection process if two or more feature combinations have identical classification rates.

Stack 910 is further appreciated in the context of an overview of certain steps used in the method of feature
5 selection.

In Step 1, the best combinations of features from the totality of all available results are selected (that is each feature combination instance is used to train the classifier, classify the sample data of the learning
10 database, generate a comparison between the classified sample and the earlier evaluation of the human expert, and all of the tested feature combination instances thus tested are ranked to define the best predictive feature combinations among all of those combinations evaluated).
15 For this purpose, a sorted list of all calculated measures of quality is prepared; from this list, a specified number of best feature combinations are accepted in a ´best list´ as a basis for the further selection process.

In step 2, the best feature combinations of Step 1 (in the
20 first iteration, all feature pairs in the stack; in the next iteration, all feature triplets in the stack; in the nth iteration, all combinations of n+1 features) are successively combined with all features not previously included in the pairing of features. Features for which
25 low measures of quality have been calculated in the appraisal of the feature pairs are thus re-included in the selection process.

In step 3, the best feature predictor combination is evaluated against a measure of acceptability, and the

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process of steps 1 and 2 is repeated until (a) one (best) combination with the desired predetermined number of features has been defined or (b) a specified Recall rate (ability to predict vis a vis the human expert) is

5   achieved.

The following example further shows the nature and operation of Selected Feature Stack 910.

Example 1

Respective to notation, "z" is the Object number for a

10   particular individual having a feature set and membership in a class (that is when z is expressed as a numeric value, then $F_{z,x}$ is considered to have a specific quantitative value in the example; when z is expressed as the textual "z", then $F_{z,x}$ is a logically identified

15   variable representing a classifying feature in the example). An Object, therefore, is a feature vector and affiliated class membership value as a combination.

Beginning with a Feature set size of 2, the example shows

20   Table 3 having 20 samples (10 for class 1 designated with z = 1,10, and 10 for class 2 designated with z = 11,20) after the set of features has been used to train a classifier and the classifier has been used to categorize each sample in the learning set.

25

30

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Table 3

First Feature Value	Second Feature Value	Membership Value Predicted from using trained classifier (note: these are examples of what the newly-trained classifier defines as a Membership Value set)	Membership Value Measured from Human Expert Input
$F_{1,6}$	$F_{1,12}$	0	0
$F_{2,6}$	$F_{2,12}$	0	1 (misclassified)
$F_{3,6}$	$F_{3,12}$	0	0
$F_{4,6}$	$F_{4,12}$	0	0
$F_{5,6}$	$F_{5,12}$	0	0
$F_{6,6}$	$F_{6,12}$	0	0
$F_{7,6}$	$F_{7,12}$	0	0
$F_{8,6}$	$F_{8,12}$	0	0
$F_{9,6}$	$F_{9,12}$	0	0
$F_{10,6}$	$F_{10,12}$	0	0
$F_{11,6}$	$F_{11,12}$	1	1
$F_{12,6}$	$F_{12,12}$	1	1
$F_{13,6}$	$F_{13,12}$	1	1
$F_{14,6}$	$F_{14,12}$	1	1
$F_{15,6}$	$F_{15,12}$	1	1
$F_{16,6}$	$F_{16,12}$	1	1
$F_{17,6}$	$F_{17,12}$	1	1
$F_{18,6}$	$F_{18,12}$	1	1
$F_{19,6}$	$F_{19,12}$	1	1
$F_{20,6}$	$F_{20,12}$	1	1

5   As can been seen, the Recall Rate = 1.0 - 1.0 / 20.0 = 0.95. For each feature combination of 2 features, a

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Recall Rate is determined. Table 4 shows the $F_{z,6}$ – $F_{z,12}$ Recall Rate along with another $F_{z,6}$ – $F_{z,18}$ Recall Rate (note that there is no equivalent Table 3 for the $F_{z,6}$ – $F_{z,18}$ Recall Rate determination).

5 Table 4

$F_{z,6}$	$F_{z,12}$	95 percent correct in predicting.
$F_{z,6}$	$F_{z,18}$	92 percent correct in predicting.

Table 5 expands on the example of Tables 3 and 4 and adds the Sharpness factor to provide a Sorted list with a stack size of 50.

10 Table 5

Pos.	First feature value	Second feature value	Recall Rate	Sharp- ness
1	6	12	0.95	0.151
2	6	18	0.92	0.125
3	7	14	0.92	0.108
4	6	21	0.91	0.132
5	5	11	0.89	0.095
6	4	12	0.89	0.089
7	6	19	0.88	0.086
8	7	18	0.86	0.084
9	5	34	0.86	0.081
10	5	33	0.85	0.082
.	.	.	.	.
.	.	.	.	.
.	.	.	.	.
48	7	12	0.81	0.071
49	7	33	0.81	0.069
50	6	19	0.80	0.068

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Continuing the Example, Table 6 shows a new incoming evaluation:

Table 6

First feature value	Second feature value	Recall Rate	Sharp-ness
8	14	0.90	0.116

5   This new $F_{z,8}$ – $F_{z,14}$ result of Table 6 pushes part of the Stack 910 down as shown in Table 7 to provide an updated list after evaluation of feature combination 8|14.

Table 7

Pos.	Feature Value 1	Feature Value 2	Recall Rate	Sharp-ness
1	6	12	0.95	0.151
2	6	18	0.92	0.125
3	7	14	0.92	0.108
4	6	21	0.91	0.132
5	8	14	0.90	0.116
6	5	11	0.89	0.095
7	4	12	0.89	0.089
8	6	19	0.88	0.086
9	7	18	0.86	0.084
10	5	34	0.86	0.081
.	.	.	.	.
.	.	.	.	.
.	.	.	.	.
48	6	17	0.82	0.081
49	7	12	0.81	0.071
50	7	33	0.81	0.069

10

End of Example 1

Figure 10 presents detail in a decision function set of the pattern recognition logic. Decision Function Detail 1000 shows detail in Decision Function Set 920 and

Decision Function Set 924. Each Class used to characterize a measured signal (whether used in classifier definition or in real-time classification) has an affiliated eigenvalue set and eigenvector set. In a
5 system of N Classes being used for classification, Class 1 Eignenvector Set 1002 , Class N Eigenvector Set 1004, Class 1 Eigenvalue Set 1006, and Class N Eigenvalue Set 1008 are each retained as shown within Decision Function Set 920 and (for the real-time case) in Decision Feature
10 Set 926.

Figure 11 presents a block flow diagram of the signal and data I/O and logging logic in the monitoring system. Signal Logic Detail 1100 therefore presents detail in Signal I/O Logic 408. Pattern Recognition Logic 406,
15 Reference Data Logic 404, Real-Time Executive Logic 402, Signal Conditioning Logic 410, and Human Interface Logic 412 are reprised from Figure 4. Feature Derivation Engine 1102 derives features from input signals Analog Input Signal 118 and/or Digital Input Signal 116 in the context
20 of attributes of Tool-Specific Feature Functions 1104 (discussed in further detail in Derivation Functions 1200 of Figure 12). Feature Derivation Engine 1102 is in bilateral data communication with Real-Time Signal Input Engine 1108 in achieving several key functionalities: (1)
25 data reading communication of measurements respective to Analog Input Signal 118 and Digital Input Signal 116, (2) acquiring data from Reference Data Logic 404, (3) occasionally acquiring updated Tool-Specific Feature Functions 1104 routines from Human Interface Logic 412,
30 and (4) data writing communication of derived features and feature values to Real-Time Signal Input Engine 1108 for further communication to Pattern Recognition Logic 406. Log of Learning Measurements 1106 is in data writing

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communication with Real-Time Signal Input Engine 1108 for receiving and holding measurements respective to anomalous measured vectors when Real-Time Signal Input Engine 1108 is prompted by Rework Engine 810. Log of Learning

5   Measurements 1106 also is in bilateral data communication with Human Interface Logic 412 and Network Interface 1116 for further communication or copying of Log of Learning Measurements 1106 data to an operating technician, a floppy, a CD-ROM, or other system. Real-Time Signal Input

10 Engine 1108 is in bilateral data communication with Human Interface Logic 412 for sending classification results and for receiving updated Tool-Specific Feature Functions 1104 routines, for receiving configuration data for hardware signals (for storage in Signal Configuration Schema 1110),

15 and for receiving a flag respective to an anomalous measured vector. Real-Time Signal Input Engine 1108 is in bilateral data communication with Feature Derivation Engine 1102 as previously described. Real-Time Signal Input Engine 1108 is in bilateral data communication with

20 Pattern Recognition Logic 406 for sending derived feature values and feature data to Pattern Recognition Logic 406 and for receiving classification feedback respective to feature values and feature data. Real-Time Signal Input Engine 1108 is in bilateral data communication with

25 Reference Data Logic 404 for informing Reference Data Logic 404 of the particular signal being read and responsively acquiring feature data to classify the signal. Real-Time Signal Input Engine 1108 is in bilateral data communication with Real-Time Executive

30 Logic 402 for (a) receiving execution enablement data signals, multi-process and/or multitasking interrupts, and (b) sending feedback and flagging inputs so that responsive logic is executed in a unified and coordinated real-time cadence. Real-Time Signal Input Engine 1108 is

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in bilateral data communication with Network Interface
1116 for receiving certain measured signal data directly
from Network 146 and for interacting with certain external
systems via Network 146 as needed. Real-Time Signal Input

5   Engine 1108 is in bilateral data communication with
Process Information System Interface 1112 for interfacing
with Process Information System 104; in Signal Logic
Detail 1100 of Figure 11, Process Information System
Interface 1112 is shown using Network Interface 1116 to

10   interface to Process Information System 104, but the
interface can also be via another data communication means
such as a direct serial link. PI Buffer 1114 is used for
holding data exchanged between Process Information System
104 and Classification Computer System 110 during

15   transfers.

Figure 12 presents detail in tool-specific feature
derivation functions. Derivation Functions 1200 shows
further detail in the particular functions used to derive
features used in classification of Mechanical Assembly

20   124. Each Feature Function contains the logical routine
used to derive the features. For any particular signal,
as indicated in the discussion of Reference Data Detail
1300 in Figure 13, a function (Aligned Function 1326) and
set of attributes (Related Functional Attribute 1328) is

25   defined for at least one feature; this data is referenced
by Feature Derivation Engine 1102 and which applies the
appropriate function in Tool-Specific Feature Functions
1104 to derive the feature values for use in Pattern
Recognition Logic 406.

30   FFT Feature Function 1202 is generally understood in the
art. This function is described in (1) Brigham, E.O.,
"The Fast Fourier Transform", Prentice-Hall Inc., 1974

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and also in (2) Cooley, J.W. and Tukey, J.W., "An Algorithm for the Machine Calculation of Complex Fourier Series", Mathematical Computation 19, 1965.

5 RPM Feature Function 1204, Minimum Signal Value Feature Function 1206, Maximum Signal Value Feature Function 1208, and RMS Feature Function 1210 are generally understood in the art. These functions are described in

10
Bannister, R.H., "A review of rolling element bearing monotoring techniques", Fluid Machinery Committee, Power Industries, London, June 1985;

Callacott, R.A., "Mechanical Fault Diagnosis and condition Monitoring", Chapman and Hall, London, 1977;

15
Hunt, T.M, "Condition Monitoring of Mechanical Equipment and Hydraulic plant", Chapman and Hall, 1996;

Rao, B.K.N., "Handbook of condition monitoring", Elsevier Advanced Technologies, 1996;

20
Harris, T.A., "Rolling Element bearing Analysis", Third Edition, New York, 1991, John Wiley & Sons, Inc.;

Berry, J.E., "How to Track Rolling Element Bearing Health with Vibration Signature Analysis", Sound and Vibration, 25 (1991) 11, pp.
25   24-35;

Dyer, D. and Stewart, R.M., "Detection of Rolling Element Bearing Damage by Statistical Vibration Analysis", Journal of Mechanical Design, Vol. 100, 1978, pp. 229-235.; and

5   Edgar, G.R. and Gore, D.A., "Techniques for the Early Detection of Rolling Bearing Failures", SAE Technical Paper Series, 1984, pp. 1-8.

Curtosis Feature Function 1212 is generally understood in the art. This function is described in Rush, A.A.,
10   "Kurtosis a crystal ball for maintenance engineers", Iron and Steel International, 52, 1979, S. 23- 27. Filtered Curtosis Feature Function 1214 is achieved by time-filtering a Curtosis value.

Envelope Set Feature Function 1216 is generally understood
15   in the art. This function is described in Jones, R.M., "Enveloping for bearing Analysis", Sound and Vibration, 30(2) 1996, page 10.

Cepstrum Feature Function 1218 is generally understood in the art. This function is described in Randall, R.B.,
20   "Cepstrum Analysis and Gearbox Fault Diagnosis", Brüel and Kjaer application note No. 233.

CREST Feature Function 1220 is generally understood in the art. This function is described in Bannister, R.H., "A review of rolling element bearing monitoring techniques",
25   Fluid Machinery Committee, Power Industries, London, June 1985.

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Filtered CREST Feature Function 1222 is generally
understood in the art. This function is described in (1)
Dyer, D. and Stewart, R.M., "Detection of Rolling Element
Bearing Damage by Statistical Vibration Analysis", Journal
5   of Mechanical Design, Vol. 100, 1978, pp. 229-235; and (2)
Bannister, R.H., "A review of rolling element bearing
monitoring techniques", Fluid Machinery Committee, Power
Industries, London, June 1985..

Dimensionless Peak Amplitude Feature Function 1224 is
10   derived from a time signal as a dimensionless parameter.
The mean peak height of the time signal characterizes the
degree of peak plurality and peak impulse magnitude, and
the periodicity and constancy between a peak and two
following peaks. To derive the dimensionless parameter of
15   Dimensionless Peak Amplitude Feature Function 1224, the
ratio between the mean amplitude and the signal "base" is
first established.

Equation 1

    Base level:

20       $a_b = \dfrac{1}{M} \sum\limits_{j=1}^{M} abs(x_j)$ with M samples of the time signal

    M = Number of data points

    x = digital data samples

25

Equation 2

Average peak amplitude

$$a_{MP} = \frac{1}{N} \sum_{j=1}^{N} a_{Pj}$$

N = Number of detected peaks in time signal

5   a_{pj} = Amplitude of peak j

The Feature of Dimensionless Peak Amplitude Feature
Function 1224 is then

Equation 3

$$f_1 = \frac{a_{MP}}{a_b}$$

10   Dimensionless Peak Separation Feature Function 1226 is
      derived from a time signal as a dimensionless parameter.
      An ideal roller bearing damage consistently generates
      peaks in the time signal from the sensor monitoring the
      bearing. The constancy of generated peaks (as related to
15   the distances between the peaks) is expressed by
      calculating all distances between a set of peaks and
      building the variance to a mean value. A roller bearing
      in good condition reflects a high degree of variance
      through small, stochastically distributed signal peaks.
20   To ensure the comparability of different rotation speeds,
      a dimensionless ratio is established by dividing the
      variance by the mean distance between peaks.

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Equation 4

Average peak distance

$$d_{MP} = \frac{1}{N-1}\sum_{j=1}^{N-1}d_{Pj}$$

5   N = Number of detected peaks in time signal

d_{pj} = Distance between peak j and peak j-1

Equation 5

$$\sigma_P = \frac{1}{N-2}\sqrt{\sum_{j=1}^{N-1}(d_{Pj}-d_{MP})^2}$$

The feature of Dimensionless Peak Separation Feature

10   Function 1226 is then calculated from

Equation 6

$$f_2 = \frac{d_{MP}}{\sigma_P}$$

Figure 13 presents a block flow diagram of the reference
data logic in the monitoring system. Reference Data

15   Detail 1300 shows detail in Reference Data Logic 404.
Pattern Recognition Logic 406, Signal I/O Logic 408, Real-
Time Executive Logic 402, and Human Interface Logic 412
are reprised from Figure 4. For any particular signal, as
indicated in the discussion of Figure 12, a function

20   (Aligned Function 1326) and set of attributes (Related

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Functional Attribute 1328) is defined for at least one
feature; this data is referenced by Feature Derivation
Engine 1102, which applies the appropriate function in
Tool-Specific Feature Functions 1104 to derive feature

5   values for use in Pattern Recognition Logic 406. Learning
Database 1302 shows a set of records related to a
particular Tool ID 1334. For each Tool ID 1334 there is a
set of features, Feature 1 (F1) 1318 through Feature N
(Fn) 1320 for which a judgment (from a human expert) is

10   also expressed as a value in Judgment Value 1322 data-
field. A set of rows of values showing Feature 1 1318
through Feature N 1320 values and a judgment as a class of
operational status is provided for each Tool ID 1334. In
the context of the aligning provided by the design of

15   Candidate Feature Database 1304 and Tools Database 1306
and Component Database 1308, Learning Database 1302
therefore represents the collected input of human
professional understanding (respective to interpretation
of the status of Mechanical Assembly 124 in operation) to

20   Classification Computer System 110 so that Classification
Computer System 110 provides rapid mechanized access in
real-time to that collected understanding. A further
discussion of how Feature N 1320 data is assembled is
described in the discussion respective to Toolbox

25   Development Overview 2300 in Figure 25. Further
considerations in (1) selecting a proper number of classes
(providing an inherent class structure) for articulating
judgment and (2) defining acceptable predictability of a
classifier instance is discussed in Component Assembly

30   2200 and Toolbox Development Overview 2300 of Figures 24
and 25. Candidate Feature Database 1304 is a table of a
set of Features 1324 and a Related Tool Identifier 1330
data-field showing the particular Tool ID 1334 set for
which that Feature 1324 is relevant. In this regard, a

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particular Feature 1324 is any one feature in the set of features (Feature 1 1318 through Feature N 1320) in Learning Database 1302 where one Feature N 1320 record is related to one Tool ID 1334. Aligned Function 1326

5 logical identifier is also provided along with Related Functional Attribute 1328 so that Feature Derivation Engine 1102 executes the proper function of Tool-Specific Feature Functions 1104 and also determines the appropriate attribute of the derived function in derivation of a

10 particular feature value. Tools Database 1306 is a table of values respective to the variable types Input Channel Logical ID 1332, Tool ID 1334, and Tool Identifying Term 1336 (for facilitating human interaction with Reference Data Detail 1300 by providing a lexical string identifier

15 for display on Monitor 102). Input Channel Logical ID 1332 is dependent upon a particular Filter Circuit 300 on Band-Pass-Filter Circuitry Board 204; the purpose of Input Channel Logical ID 1332 is to enable crosscheck in execution of Hardware Configuration Function 702 so that

20 an operating technician attaches an instance of Analog Input Signal 118 to the proper Signal Wire Terminators 212. Component Database 1308 provides a further reference so that instances of Component Identifier 1338 (see the further discussion of Component Assembly 2200 in Figure

25 24) are, when combined with a particular Sensor Type 1340, wired to the proper Input Channel Logical ID Field 1342. Note that, in using Component Database 1308 and Tools Database 1306, a Component Identifier 1338 in combination with a Sensor Type 1340 "points" to acceptable Input

30 Channel Logical ID Field 1342 values. The Input Channel Logical ID Field 1342 values (which could be more than one relative Signal Wire Terminator 212), when mapped to the table of Tools Database 1306, enable identification of a particular Input Channel Logical ID 1332; ID 1332 then

identifies an appropriate Tool ID. 1334 in alignment with
Component Identifier 1338, Sensor Type 1340, and Input
Channel Logical ID 1332 (resolving hardware alignment
considerations in the classifier). Tool ID 1334 then

5   references a set of Feature 1324 instances in Candidate
Feature Database 1304 (a datalogical reference for
evaluation of Component Identifier 1338 in operation) and
also references a particular record of Learning Database
1302 (collected human learning in intersection with the

10   set of Feature 1324 instances in the datalogical reference
frame of Candidate Feature Database 1304). The set of
Features 1324 with their particular Learning Database 1302
instance is then used in conjunction with (a) Progressive
Feature Selector 904 (or, alternatively, Evolutionary

15   Feature Selector 902) and (b) with Weighted Distance
Classifier 906 (or, alternatively, Neural Net Engine 908)
to derive a subset, for each Judgment Value 1322 class, of
(c) Feature 1 1318 - Feature N 1320 features for use in
real-time classification. Real-Time Signal Feature Set

20   Instance 1310 is the subset, for each Judgment Value 1322
class, of (c) Feature 1 1318 - Feature N 1320 features for
use in real-time classification for a particular Analog
Input Signal 118 (Digital Input Signal 116 or Analog Input
Signal 118 /Digital Input Signal 116 combination) instance

25   respective to at least one identified judgment class
(Judgment Value 1322 type). Real-Time Signal Feature Set
Instance 1310 points to a particular Decision Function Set
924 instance and aligns with a respective Decision Feature
Set 926. Real-Time Signal Feature Set Instance 1310 is

30   accessed by Signal I/O Logic 408 in interactions with
Feature Derivation Engine 1102 and Pattern Recognition
Logic 406. Feature Data Evaluation Engine 1312 (in data
reading communication with Learning Database 1302,
Candidate Feature Database 1304, Tools Database 1306, and

Component Database 1308) is used with Feature Selection
Function 714 and Classifier Definition Function 718 in
defining a classifier instance. Configuration Tables
Interface 1314 is in bilateral data communication with
5   Learning Database 1302, Candidate Feature Database 1304,
Tools Database 1306, Component Database 1308, and Real-
Time Signal Feature Set Instance 1310 for loading these
tables and providing the operating technician with a full
reference frame for evaluating the status of the data
10   which is custom to a particular instance of Mechanical
Assembly 124 (note that Configuration Tables Interface
1314 is in bilateral data communication with Human
Interface Logic 412 and Real-Time Executive Logic 402).
Threshold Value 1316 is used by Feature Data Evaluation
15   Engine 1312 in a decision to use Evolutionary Feature
Selector 902 in preference to Weighted Distance Classifier
906. Depending on the capability of the particular
Classification Computer CPU 138 and affiliated computing
resources, the use of Evolutionary Feature Selector 902 is
20   preferable for feature sets above Threshold Value 1316.

Figure 14 presents details for a machine analysis toolbox.
Toolbox 1400 shows Machine Analysis Toolbox 1402. In this
regard, in one embodiment, a data schema section is
provided with Learning Database 1302, Candidate Feature
25   Database 1304, Tools Database 1306, and Tool-Specific
Feature Functions 1104 as an aligned set with a unifying
logical identified data value in Data Feature Tool Object
1404. Machine Analysis Toolbox 1402 is, in one
embodiment, unified in one data schema logical section,
30   or, in the embodiment shown in Signal Logic Detail 1100
and Reference Data Detail 1300, virtually provided in more
than one logical section. Attributes A1 and A3 shown in
column 1328 (Figure 13) are the feature attributes of the

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signal vector as derived from feature function 1326 to
become classification feature 1324 (as noted earlier,
Features frequently reference a variable possessing a
joining consideration or datalogical nexus between, first,
5   an attribute derived in the context of a function from the
measured signal and, second, a variable used in a
classifier). Machine Analysis Toolbox 1402 is, in one
embodiment, resident as a logical object set in data form
on a unified physical storage device such as a CD-ROM, a
10   "floppy", or other like media. In this regard, (1)
hardware alignment considerations, (2) the datalogical
reference for evaluation of components in operation, (3)
the related collected human learning in intersection with
the datalogical reference frame, and (4) the functions
15   needed to derive the data needed for the datalogical
reference frame all continuously improve with time; these
elements in the embodiment are beneficially upgraded
periodically in Classification Computer System 110 in a
unified manner to provide access to improved methodology.
20   Machine Analysis Toolbox 1402, therefore, is manifested
virtually in all embodiments and is manifested in unified
logical form in some embodiments and in separated logical
form in other embodiments.

Figure 15 presents an overview flowchart of the
25   organization of key information in constructing and using
the preferred embodiments. Use Process Overview 1500
outlines a broad process perspective in use of the
classifier. In Setup Step 1502, a computer-implemented
routines set is provided, with each routine deriving a
30   feature value set from a signal generated by a type of
sensor when used on a machine component type. In Testing
Step 1504, a set of input signals is collected from each
sensor type representative of a machine component in

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different classified modes (classes) of operation (for example, without limitation, a Shutdown Class, a Good Class, a Transition Class, and a Bad Class). In Feature Definition Step 1506, the computer-implemented routines

5   are applied to derive a feature value set for each measured input signal instance, and each feature value set is added to a Learning Database. In Expert Input Step 1508, a class affiliation parameter value (judgment) is associated with each input signal instance in the Learning

10   Database. In this regard, the "classified modes" of operation of Testing Step 1504 are based on human understanding; in Expert Input Step 1508, this understanding is datalogically expressed and affiliated with each signal for which a feature value set was derived

15   in Feature Definition Step 1506. In Toolbox Assembly Step 1510, the information of Testing Step 1504, Feature Definition Step 1506, and Expert Input Step 1508 is organized in the context of the data reference of the routines of Setup Step 1502. In this regard, the (a) set

20   of sensor identifiers, (b) feature routines related to each sensor type, (c) sets of features defined by the feature routines, (d) learning databases, and (e) affiliated query and configuration routines and data are all collected into a Toolbox Of Data Feature Tools 1402

25   for use in computer memory. In Use Step 1512, the Toolbox 1402 is used in configuration and real-time operation of the monitoring system to measure the status of a unified component assembly (Mechanical Assembly 124) in operation.

Figure 16 presents a flowchart of key classification

30. steps. Implementation Process Overview 1600 shows further detail in Use Step 1512. In Configuration Step 1602, configuration of Reference Data Logic 404 customizes Classification Computer System 110 to a particular

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instance of Mechanical Assembly 124 by (a) identifying deployed sensors (see Component Assembly 2200 of Figure 22); (b) assigning a channel (Signal Wire Terminators 212), component/sensor (Component Identifier 1338 & Sensor

5   Type 1340), and/or Toolbox Tool ID (Related Tool Identifier 1330) to each sensor; and (c) providing historical learning data to Learning Database 1302.

In Optional Learning Step 1604, an optional learning phase is implemented to acquire further measurements in the

10   learning base. This is an optional step in the sense that such learning is alternatively acquired in the course of adaptation (Adaptation Step 1610); however, in certain applications, it is beneficial to perform system testing prior to full commitment to use so that Learning Database

15   1302 reflects both (a) measurements and judgments for the type of component and sensor in prior use on other embodiments of Mechanical Assembly 124 or from a test environment and (b) specifically judged measurements for the particular Mechanical Assembly 124 being monitored by

20   the instance of Classification Computer System 110 configured.

In Classifier Derivation Step 1606, a real-time classifier reference parameter instance (Weighted Distance Real-Time Parameters 916 or NN Real-Time Parameters 914) is derived

25   for each component and sensor combination. In Real-Time Classifying Step 1608, derivation and depiction of real-time membership values (the membership of each component in each class valid for that component) is performed in an ongoing manner. In Adaptation Step 1610, adaptation of

30   Learning Database 1302 and redefinition of Weighted Distance Real-Time Parameters 916 (or NN Real-Time Parameters 914) is executed (via multi-process and/or

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multitasking interrupts and execution enablement data
signals from Executive Logic 402) along with on-going
derivation and depiction of real-time membership values.
In Anomalous Vector ID Step 1612, anomalous vectors are
5   identified (Rework Engine 810). In Human Query Step 1614,
Monitor 102 is queried for operating technician input
respective to judgment for the anomalous vector. In
Adaptation Decision 1616, the operating technician inputs
a decision to proceed to redefine Weighted Distance Real-
10   Time Parameters 916 (or NN Real-Time Parameters 914). If
the decision result is NO, Adaptation Decision 1616
terminates to Exit Step 1620. If the decision result is
YES, Adaptation Decision 1616 terminates to Replacement
Classifier Derivation Step 1618. In Replacement
15   Classifier Derivation Step 1618, a new real-time
classifier reference parameter instance is determined via
coordination of Adaptation Function 722 in Control Block
604. Weighted Distance Parameter Instance 916 (or Neural
Network Parameter Instance 912) provide storage for the
20   redefinition of Weighted Distance Real-Time Parameters 916
(NN Real-Time Parameters 914) so that the existing
instances of Weighted Distance Real-Time Parameters 916
(NN Real-Time Parameters 914) are used for real-time
classification of Mechanical Assembly 124 during the
25   adaptation process. In the final portion of Replacement
Classifier Derivation Step 1618, the new version of
Weighted Distance Parameter Instance 916 (NN Parameter
Instance 912) replaces the old version for the particular
signal for which the adaptation is being executed. In
30   Exit Step 1620, the adaptation process concludes with an
exit.

Figure 17 presents a flowchart detailing decisions in use
of progressive feature selection, evolutionary feature

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selection, neural network classification, and weighted distance classification. Classification Overview 1700 further defines Classifier Derivation Step 1606 to show the process by which each measurement vector (derived from

5   Analog Input Signal 118, Digital Input Signal 116, or a combination of Digital Input Signal 116 and Analog Input Signal 118 signals) is classified. In Sample Signal Preparation Step 1702, the signal sample values are normalized for use in classification. This step is not

10   executed in every contemplated embodiment, but is generally a preferable approach. In this regard, "normalized sample signals" reference the normalized features as a whole for a particular set of learning samples taken collectively and resident for a particular

15   Tool ID 1334 in Learning Database 1302. In Branch Step 1704, reference rules branch the method to a particular combination of (a) classifier and (b) feature selection process. This branching is further described respective to considerations outlined in Table 8.

20

25

30

35

Table 8

Situation	NN	Evolutionary Feature Selection	Weighted Distance Classifier	Progressive Feature Selection
Problems with a small number of possible input features (< 400)	X	X	X	X
Problems with a large number of possible input features (> 400)	X	X	X	
Learning data set has more then one disjunct cluster with equal class membership	X	X		X
Strong ellipsoidal distribution for the data set		X	X	X
High level of deterministic solutions (safety relevance issues, minimum of control parameters)			X	X

5

In PF-WD Preparation Step 1706, a set of normalized sample signals is prepared for the progressive feature selection process. In PF-WD Class Separation Step 1708, the normalized sample signal set is separated into class

10   subsets. In PF-WD Feature Set Definition Step 1710, the weighted distance classifier and the progressive feature selection process converge Learning Database 1302 data for the particular sample signals to a real-time feature subset. In PF-WD Real-Time Set Storage Step 1712, the

15   real-time feature subset is saved in Weighted Distance Real-Time Parameters 916.

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In PF-NN Preparation Step 1714, a set of normalized sample signals is prepared for the progressive feature selection process. In PF-NN Class Separation Step 1716, the

5   normalized sample signal set is separated into class subsets. In PF-NN Feature Set Definition Step 1718, the neural network classifier and the progressive feature selection process converge Learning Database 1302 data for the particular sample signals to a real-time feature

10   subset. In PF-NN Real-Time Set Storage Step 1720, the real-time feature subset is saved in NN Real-Time Parameters 914.

In EF-NN Preparation Step 1722, a set of normalized sample

15   signals is prepared for the evolutionary feature selection process. In EF-NN Class Separation Step 1724, the normalized sample signal set is separated into class subsets. In EF-NN Feature Set Definition Step 1726, the neural network classifier and the evolutionary feature

20   selection process converge Learning Database 1302 data for the particular sample signals to a real-time feature subset. In EF-NN Real-Time Set Storage Step 1728, the real-time feature subset is saved in NN Real-Time Parameters 914.

25

In EF-WD Preparation Step 1730, a set of normalized sample signals is prepared for the evolutionary feature selection process. In EF-WD Class Separation Step 1732, the normalized sample signal set is separated into class

30   subsets. In EF-WD Feature Set Definition Step 1734, the weighted distance classifier and evolutionary feature selection process converge Learning Database 1302 data for the particular sample signals to a real-time feature subset. In EF-WD Real-Time Set Storage Step 1736, the

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real-time feature subset is saved in Weighted Distance
Real-Time Parameters 916.

Figure 18 presents detail in the weighted distance method
5   of classifying and progressive feature selection.
Progressive Feature Selection Process 1800 provides an
overview of the method executed by Progressive Feature
Selector 904. The set of features Feature 1 1318 to
Feature N 1320 for a particular Tool Identifying Term 1336
10   is processed to define the best subset for use in real-
time classification. In this regard, the size of the
subset is dependent upon the particular Classification
Computer CPU 138 and affiliated resources, the frequency
at which real-time membership determinations are desired,
15   the instances of Tool Identifying Term 1336 in
Classification Computer System 110, and like
considerations. In Weighted-Distance Classifier Initial
Features Step 1802, the features are individually
evaluated if more than 400 features are defined for a
20   particular signal. If less than 400 features are defined,
each feature couplet is evaluated. In Weighted-Distance
Classifier Initial Feature Ranking Step 1804, fitness for
a classifier respective to each feature or feature couplet
is evaluated. In Weighted-Distance Classifier Feature
25   Selecting Step 1806, the best performing features or
feature couplets are selected to Selected Feature Stack
910. On subsequent iterations, the best feature sets are
selected to Selected Feature Stack 910. In Weighted-
Distance Classifier Feature Set Augmentation Step 1808,
30   the feature sets in the stack are separately augmented
with each individual feature not in the set. In Weighted-
Distance Classifier Feature Set Fitness Decision 1810,
each new feature set is evaluated for classification
prediction fitness. If sufficient fitness prediction is

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not achieved by any feature set ("NO" decision result),·
then the process returns to Weighted-Distance Classifier
Feature Selecting Step 1806. If the decision result is
YES, Weighted-Distance Classifier Feature Set Fitness
5   Decision 1810 terminates to WD Feature Set Acceptance Step
1812. In Weighted-Distance Classifier Feature Set
Acceptance Step 1812, the feature set achieving the best
fitness is written into Weighted Distance Real-Time
Parameters 916 (NN Real-Time Parameters 914). Figure 19
10 shows further detail in Steps 1804, 1806, and 1808 in
feature evaluation detail 2900. An example of the above
process follows.

Example 2
15

Control parameters for the selection strategy are similar
to Example 1 used to describe Stack 910 in the discussion
of Figure 9. First, in reference (1) to the
reclassification rate (predictive capability and/or error)
20 concept and (2) to the basis of a classified learning
sample for which an unambiguous class assignment is
performed prior to use for each random sample collected
during a learning phase, a measure of appraisal is
obtained by reclassifying the learning sample with the
25 respective classification algorithm and a selected subset
of classifying data. The ratio of (a) the number of
random samples correctly classified in accordance with the
given class assignment to (b) the total number of random
samples investigated provides a measure of the
30 reclassification rate, error, and predictive capability of
the particular evaluated classifier and selected
classifying data; as should be appreciated, the goal of
the process is ultimately to obtain a very small
reclassification error. In the ideal case, the decision on

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class assignment for reclassification agrees with the class subdivision of the learning sample for all objects on the basis of the maximal membership. The advantage of the reclassification error concept is the possibility of

5   determining conclusive values even with a small number of random samples.

Separation sharpness is also a key factor in the example. The classification decision gains unambiguity if the

10   distance between the two largest class memberships increases. Based on these membership values a sharpness factor is defined, which is considered in the selection process if two or more feature combinations have identical classification rates.

15

Respective to notation, "z" is the Object number for a particular individual having a feature set and membership in a class (that is when z is expressed as a numeric value, then $F_{z,x}$ is considered to have a specific

20   quantitative value in the example; when z is expressed as the textual "z", then $F_{z,x}$ is a logically identified variable representing a classifying feature in the example). An Object, therefore, is a feature vector and affiliated class membership value as a combination.

25

In this example, the feature "gene pool" has a Maximum Set Size of $F_{z,1}........F_{z,10}$ and the progressive search algorithm determines a sub-optimal feature subset containing 3 features.

30

Human expert membership value "0" indicates that the sample belongs to class A, and a value "1" indicates that the sample belongs to class B. The human expert's decision

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is available for all samples of the learning data base (in this example, a sample size of 20).

In Step 1 of the example, all samples from the learning
5   database are read into the progressive selection method.

In Step 2 of the example, the search algorithm starts with an opening minimum set of 2 features $F_{z,x}$ - $F_{z,y}$ for each individual (see notational paragraph above respective to
10 variable "z"). All possible combinations of two features are then defined. Table 9 shows all combinations of 2 features containing Feature "1" and the possible feature pairs. The combination $F_{z,1}$ and $F_{z,2}$ is defined using the notational form "1 | 2".

15 Table 9:

1 | 2	$F_{z,1}$	$F_{z,2}$
1 | 3	$F_{z,1}$	$F_{z,3}$
1 | 4	$F_{z,1}$	$F_{z,4}$
1 | 5	$F_{z,1}$	$F_{z,5}$
1 | 6	$F_{z,1}$	$F_{z,6}$
1 | 7	$F_{z,1}$	$F_{z,7}$
1 | 8	$F_{z,1}$	$F_{z,8}$
1 | 9	$F_{z,1}$	$F_{z,9}$
1 | 10	$F_{z,1}$	$F_{z,10}$

25

In Table 10 all possible combinations of any two features are listed.
          :

30

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Table 10

```
5    1.    1  |  ( 2, 3, 4, 5, 6, 7, 8, 9, 10)
     2.    2  |  ( 3, 4, 5, 6, 7, 8, 9, 10)
     3.    3  |  ( 4, 5, 6, 7, 8, 9, 10)
     4.    4  |  ( 5, 6, 7, 8, 9, 10)
     5.    5  |  ( 6, 7, 8, 9, 10)
10   6.    6  |  ( 7, 8, 9, 10)
     7.    7  |  ( 8, 9, 10)
     8.    8  |  ( 9, 10)
     9.    9  |  (10)
```

15   The performance of each feature combination is determined
      by (1) training the Weighted Distance Classifier, (2)
      calculating the classification results for all samples of
      the learning data set, and (3) comparing the results of
      the calculation with the initial human expert
20   determination (that is, establishing the comparison of
      respective ability of the trained classifier to return,
      respective to a particular "trial" feature combination,
      the same determination of membership as the human expert
      for a particular measurement).

25   Table 11 demonstrates this process for the feature
      combination 6 | 10 after the performance of each feature
      combination has been determined.

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Table 11: Classification results for the whole learning data set.

First Feature Value	Second Feature Value	Membership value for class 1 predicted from using trained classifier	Membership value for class 2 predicted from using trained classifier	Class Membership Value calculated from both class membership values	Membership Value Measured from Human Expert Input
$F_{1,6}$	$F_{1,10}$	0.8	0.2	0	0
$F_{2,6}$	$F_{2,10}$	0.4	0.6	1	0 (misclassified)
$F_{3,6}$	$F_{3,10}$	0.9	0.1	0	0
$F_{4,6}$	$F_{4,10}$	0.6	0.4	0	0
$F_{5,6}$	$F_{5,10}$	0.7	0.3	0	0
$F_{6,6}$	$F_{6,10}$	0.9	0.1	0	0
$F_{7,6}$	$F_{7,10}$	1.0	0.0	0	0
$F_{8,6}$	$F_{8,10}$	0.6	0.4	0	0
$F_{9,6}$	$F_{9,10}$	0.6	0.4	0	0
$F_{10,6}$	$F_{10,10}$	0.7	0.3	0	0
$F_{11,6}$	$F_{11,10}$	0.1	0.9	1	1
$F_{12,6}$	$F_{12,10}$	0.2	0.8	1	1
$F_{13,6}$	$F_{13,10}$	0.1	0.9	1	1
$F_{14,6}$	$F_{14,10}$	0.2	0.8	1	1
$F_{15,6}$	$F_{15,10}$	0.4	0.6	1	1
$F_{16,6}$	$F_{16,10}$	0.3	0.7	1	1
$F_{17,6}$	$F_{17,10}$	0.1	0.9	1	1
$F_{18,6}$	$F_{18,10}$	0.2	0.8	1	1
$F_{19,6}$	$F_{19,10}$	0.3	0.7	1	1
$F_{20,6}$	$F_{20,10}$	0.2	0.8	1	1

Two performance indicators are calculated from table 11:

5   (a) the Recall Rate for all samples: = Number correct classified / total sample size = 19 / 20 = 0.95; and (b) the Sharpness as the difference between the class memberships. In the instance that a sample is misclassified, the difference between the membership

10   values is 0. (If more than 2 classes are defined the

sharpness is calculated as the difference between the two
highest membership values.)

Sharpness   = (0.8 – 0.2) + 0.0 + (0.9 –0.1) + ... +
(0.7-0.3) + (0.9 – 0.1) + ... + (0.8-0.2) / 20.0 = 0.52

5   Table 12 gives the result of the evaluation of the
combination of features $F_{z,6}$ and $F_{z,10}$.

Table 12

$F_{z,6}$	$F_{z,10}$	95 percent correct in predicting.

10   Insofar as the objective is (a) to generate a list of the
best m feature combinations rather than (b) to store all
evaluated feature combinations, a sorted list (Stack 910)
with a specified stack size is updated after the
performance check of the combination regarding Table 10 as
15   previously described.

The stack in Table 13 represents the situation after the
evaluation of all combinations inclusive of the feature
combination $F_{z,8}$ and $F_{z,9}$. The features are sorted according
20   to (a) the Recall Rate and then (b) for several
combinations according to their Sharpness where the Recall
Rate is identical.

25

Table 13: Sorted list with a stack size of 10

Pos.	First feature value	Second feature value	Recall Rate	Sharp-ness
1	6	10	0.95	0.52
2	6	7	0.95	0.48
3	4	9	0.90	0.45
4	7	10	0.90	0.42
5	6	9	0.85	0.43
6	5	7	0.85	0.40
7	7	8	0.80	0.39
8	4	8	0.80	0.39
9	2	10	0.80	0.37
10	5	9	0.75	0.35

5

After calculating the performance of the next combination $F_{z,8}$ and $F_{z,10}$ (Table 14) the stack is updated if the performance is superior to the performance of the last

10 entry in the stack. In the example the current feature combination $F_{z,8}$ and $F_{z,10}$ is ranked at position 5 and the old position 10 falls out of the Stack. (Table 15).

Table 14: Current evaluation:

15

First feature value	Second feature value	Recall Rate	Sharp-ness
8	10	0.90	0.42

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Table 15: Updated list after evaluation feature combination 8|10.

Pos.	First feature value	Second feature value	Recall Rate	Sharp-ness
1	6	10	0.95	0.52
2	6	7	0.95	0.48
3	4	9	0.90	0.45
4	7	10	0.90	0.42
5	8	10	0.90	0.42
6	6	9	0.85	0.43
7	5	7	0.85	0.40
8	7	8	0.80	0.39
9	4	8	0.80	0.39
10	2	10	0.80	0.37

5

Table 16: Stack after testing all combination with two features.

Pos.	First feature value	Second feature value	Recall Rate	Sharp-ness
1	6	10	0.95	0.52
2	6	7	0.95	0.48
3	4	9	0.90	0.43
4	7	10	0.90	0.42
5	8	10	0.90	0.40
6	6	9	0.85	0.43
7	5	7	0.85	0.40
8	9	10	0.80	0.41
9	7	8	0.80	0.39
10	4	8	0.80	0.39

10   Proceeding now to Step 3, all combinations which are
stored in table 16 (the best 10 pairs) are successively
combined with all features not previously included in this
pairing of features. Features for which low measures of
quality have been calculated in the appraisal of the
15   feature pairs can thus be re-included in the selection

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process.   Tables 17-19   show phases in Step 3
consideration for three Features.

Table 17: All possible combination of the best pair $F_{z,6}$,
$F_{z,10}$ with all available features.

5   6 | 10 | 1 $F_{z,6}$, $F_{z,10}$, and $F_{z,1}$

    6 | 10 | 2 $F_{z,6}$, $F_{z,10}$, and $F_{z,2}$

    6 | 10 | 3 $F_{z,6}$, $F_{z,10}$, and $F_{z,3}$

    6 | 10 | 4 $F_{z,6}$, $F_{z,10}$, and $F_{z,4}$

    6 | 10 | 5 $F_{z,6}$, $F_{z,10}$, and $F_{z,5}$

10 6 | 10 | 7 $F_{z,6}$, $F_{z,10}$, and $F_{z,7}$

    6 | 10 | 8 $F_{z,6}$, $F_{z,10}$, and $F_{z,8}$

    6 | 10 | 9 $F_{z,6}$, $F_{z,10}$, and $F_{z,9}$

Table 18: Possible combinations of the stack pairs with
15   all available features.

    1.   6 | 10 | (1, 2, 3, 4, 5, 7, 8, 9)

    2.   6 | 7 | (1, 2, 3, 4, 5, 8, 9)

    3.   4 | 9 | (1, 2,   5, 6, 7, 8, 10)

    4.   7 | 10 | (1, 2, 3, 5, 8, 9)

20 5.   8 | 10 | (1, 2, 3, 4, 5, 9)

    6.   6 | 9 | (1, 2, 3, 4, 8, 10)

    7.   5 | 7 | (1, 2, 3, 4, 8, 9, 10)

    8.   9 | 10 | (1, 2, 3, 4, 5)

    9.   7 | 8 | (1, 2, 3, 4, 9, 10)

25 10.   4 | 8 | (1, 2, 3, 9, 10)

30

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Table 19: Stack after testing all combination with three features.

Pos.	First feature value	Second feature value	Third feature value	Recall Rate	Sharp-ness
1	6	10	5	1.00	0.60
2	6	10	9	1.00	0.58
3	6	10	7	0.95	0.56
4	6	7	3	0.95	0.52
5	6	7	9	0.95	0.50
6	6	10	5	0.90	0.50
7	4	9	5	0.90	0.48
8	6	10	7	0.90	0.47
9	4	9	6	0.85	0.49
10	6	7	8	0.85	0.48

5

If the algorithm selects more then three features, the
process is repeated (Step 3). A criteria is used to either
end the process and accept a set of feature combinations
or to enhance the feature set to four, five, six, etc.
10   features until an acceptable level of membership
prediction is achieved.

Variation of the stack size is a tuning parameter for the
system. In this regard, and due to the linear effect of
15   the stack size, the computing time can be shortened
considerably by reducing the list length. For example, at
a stack size = 10, only the 10 best individual features
are used in the second stage to form new feature
combinations. However, as these are again combined with
20   all N' features, all features will continue to take part
in the selection process, even if they do not belong to
the best individual features. As quality in stack
performance and the respective stack size tentatively
depends considerably on the particular problem instance, a
25   recommendation can, of course, only be given via the

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selection of the parameter list length (number of
solutions to be pursued). However, as a general rule,
according to the experience of the inventors, a sensible
compromise between optimization of the computing time and
5   the finding of a sub-optimum set of features is achieved
with a stack size of preferably between 20 and 50 feature
candidate combinations.

End of Example 2

10

Figure 20 presents detail in the neural network (NN)
method of classifying and in evolutionary feature
selection. Evolutionary Feature Selection Process 1900
shows a process of use for the evolutionary feature
15   selection process; the classifier used is a neural
network, but, in an alternative embodiment, the weighted
distance classifier described in Progressive Feature
Selection Process 1800 is used along with the evolutionary
selection process. In Neural Network Initiation Step
20   1902, a particular neural network for use with a sample
signal set given a primer configuration and the number of
layers and neurons per layer are defined. In Neural
Network Initial Fitness Step 1904, an initial feature set
is defined to establish the scope of the network, and
25   fitness of the neural network is evaluated against the
initial feature set. In Neural Network Configuration
Decision 1906, the fitness of Neural Network Initial
Fitness Step 1904 is examined against a performance
threshold to define acceptability of the neural network
30   configuration. If the decision result is NO, Neural
Network Configuration Decision 1906 terminates to Neural
Network Reconfiguration Step 1908. If the decision result
is YES, Neural Network Configuration Decision 1906
terminates to Primary Random Feature Set Generation Step

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1910. In Neural Network Reconfiguration Step 1908, if the
fitness of Neural Network Configuration Decision 1906 is
insufficient, the neural network configuration is examined
and modifications are proposed. If the result of Feature

5   Set Size Decision 1926 is YES, the feature set size is
decreased and the neural network configuration is examined
and modifications are proposed. NN Reconfiguration Step
1908 then terminates to Neural Network Initiation Step
1902 for modification of the neural network configuration.

10 In Primary Random Feature Set Generation Step 1910,
following acceptability of the neural network
configuration in Neural Network Configuration Decision
1906, feature subsets are generated using random feature
selection. In Feature Set Ranking Step 1912, each feature

15 subset is used (a) to train the neural network and derive
a weighting matrix and then (b) to use the particular
derived weighting matrix parameter instance in Neural
Network Parameter Instance 912 to evaluate the sample
vectors in predicting their memberships. The feature

20 subsets are then ranked according to their prediction
capability. In Feature Set Decision 1914, each new
feature subset is evaluated for classification prediction
fitness. If sufficient fitness prediction is not achieved
by any feature set, then the process proceeds to Feature

25 Subgroup Selection Step 1918. If sufficient fitness
prediction is achieved by any feature set, then the
process proceeds to Neural Network Feature Set Acceptance
Step 1916; and the feature set defines the (sub-optimal)
feature combination for use in NN Real-Time Parameters 914

30 for the particular signal. In Feature Subgroup Selection
Step 1918, a best-performing subgroup of the ranked
feature subsets of Feature Set Ranking Step 1912 are
selected for further modification; each of these feature
subsets in the subgroup is referred to as a "parent

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individual". In Feature Subgroup Crossover Step 1920, "parent individuals" exchange certain features to define "new individuals" - this process is termed as "crossover". In Feature Subgroup Mutation Step 1922, the "new

5   individuals" of Feature Subgroup Crossover Step 1920 are further modified as to features by exchanging a specific number of features which were not included in the initial set of features evaluated in the feature subsets of 1912 with features in the "new individuals" - this process is

10 termed as "mutation". In Feature Set Reconfiguration Step 1924, the inferior-performing subgroup of the ranked feature subsets of Feature Set Ranking Step 1912 are replaced with the "new individuals" so that a new set of feature subsets (the "parent individuals" and the "new

15 individuals") is available. The generation counter is then incremented to designate a new generation of feature subsets for consideration. In Feature Set Size Decision 1926, change in the feature set size in view of the predictive capability of the prior generation is

20 considered. This decision is determined by operating technician input via Human Interface Logic 412 interfacing or, in an alternative automated embodiment, from interaction with a rule set. If the decision result is NO, Feature Set Size Decision 1926 terminates to Feature

25 Set Ranking Step 1912. If the decision result is YES, Feature Set Size Decision 1926 terminates to Neural Network Reconfiguration Step 1908.

An example of the evolutionary selection method according

30 to the preferred embodiments is described in conjunction with reference to Figures 21A, 21B, 21C, and 21D which show evolutionary method steps and data sets 2800; Figures 21A-21D also provide diagrams showing affiliations between

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data variables and data values between dataset instances discussed in Example 3.

Example 3

5

In Step 1, setup of (1) a population size for feature combinations (where each combination is an "individual" in the population), (2) a feature set "gene pool" for the population, and (3) the number of feature "genes" per

10 "individual" is defined. In this example, the feature "gene pool" has a Maximum Set Size of $F_{z,1}$.........$F_{z,10}$. An opening Minimum Set of 2 features $F_{z,x}$ - $F_{z,y}$ for each individual is defined. A set of 5 individuals in the population is defined.

15

Respective to notation, "z" is the Object number for a particular individual having a feature set and membership in a class (that is when z is expressed as a numeric value, then $F_{z,x}$ is considered to have a specific

20 quantitative value in the example; when z is expressed as the textual "z", then $F_{z,x}$ is a logically identified variable representing a classifying feature in the example). An Object, therefore, is a feature vector and affiliated class membership value as a combination.

25

Proceeding to Step 2, the 5 individuals (note that the "individuals" of Table 20 are defined at the datalogical level of variables rather than at the level of specific measured Objects) with the selected minimum number of

30 features (the 2 feature "gene combinations" of Step 1) are defined as a set of feature variables from the feature "gene pool" of $F_{z,1}$.........$F_{z,10}$ in a random manner to form Table 20 (further reference to Dataset 2802 of Figure 21A).

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Table 20

$F_{Z,1}$	$F_{Z,8}$	Combination 1 – forming Individual 1
$F_{Z,4}$	$F_{Z,10}$	Combination 2 – forming Individual 2
$F_{Z,6}$	$F_{Z,2}$	Combination 3 – forming Individual 3
$F_{Z,3}$	$F_{Z,1}$	Combination 4 – forming Individual 4
$F_{Z,5}$	$F_{Z,9}$	Combination 5 – forming Individual 5

5

In Step 3, the new feature combinations are used in relating to the Learning Data Set (Samples 2804, 2806) in Learning Database 1302 so that prior combined measurements

10 of feature values and membership value combinations are acquired for training a classifier. In this first pass, (the Minimum Set of) 2 features $F_{z,x}$ – $F_{z,y}$ for each individual define a Feature Value Couplet in the Learning Data Set. In this example, essentially the simplest case,

15 2 measurements (Sample A 2804 and Sample B 2806) from the learning database are recovered showing past human evaluations of two measured situations (the evaluations being expressed quantitatively as Human Expert Membership Values) using Features 1 – 10 respective to a Membership

20 Class A:

$F_{1,1}$............$F_{1,10}$ having a Human Expert Membership Value 1

$F_{2,1}$............$F_{2,10}$ having a Human Expert Membership Value 0

Human Expert Membership Value "1" or "0" indicates, respectively, whether or not the particular Feature Value

25 combination measured instance (the Feature Value Couplet

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of this first pass) belongs to Class A.   Two Objects in
the database (note again that each $F_{x,y}$ represents a
quantitative value from a feature respective to a sample
from the learning database) are read into the evolutionary

5 selection method.   Note again that only two feature values
of the possible 10 in any one sample Object are used in
this first evaluation.

Proceeding to Step 4, "weight adaptation" is performed to
associate (a) data values from learning with (b) the

10 combinations of features identified from random selection.
Reviewing Steps 2 and 3, Table 20 was used to define all
relevant feature values; then each relevant class
membership is also affiliated with each Feature Value
couplet respective to the learning database as shown (see

15 Table 21 and Dataset 2808 of Figure 21A for the Feature
Value Couplets of this first pass with their associated
Human Expert Membership Values).   A consideration of the
connections between Dataset 2802, Dataset 2808, and
Learning Database 1302 in Figure 21A shows datalogical

20 nexus in this regard.   In performing "weight adaptation"
in this first pass, the neural network is trained
respective to all of the Feature Value Couplets and their
affiliated Membership Values shown in Table 21; or,
alternatively, the Weighted Distance Classifier has a set

25 of eigenvalues and eigenvectors defined respective to all
the Feature Value Couplets and their affiliated Membership
Values shown in Table 21 and Dataset 2808.   The Neural
Net, then, is trained according to the values of Table 21;
or, alternatively, the Weighted Distance Classifier is

30 trained according to the values of Table 21.   The training
step is shown in Figure 21A as Derive Classifier Operation
2810.   Derive Classifier Operation 2810 obtains values
from Column 2812, Column 2814, and Column 2816 of Dataset

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2808 (note that, even as the columns are conveniently identified, the system continues to relate to each Object, or effective row across all columns referenced, as a related data entity for use in classification).

5   Table 21

First Feature Value	Second Feature Value	Membership Value Measured from Human Expert Input
$F_{1,1}$	$F_{1,8}$	1
$F_{2,1}$	$F_{2,8}$	0
$F_{1,4}$	$F_{1,10}$	1
$F_{2,4}$	$F_{2,10}$	0
$F_{1,6}$	$F_{1,2}$	1
$F_{2,6}$	$F_{2,2}.$	0
$F_{1,3}$	$F_{1,1}$	1
$F_{2,3}$	$F_{2,1}$	0
$F_{1,5}$	$F_{1,9}$	1
$F_{2,5}$	$F_{2,9}$	0

In Step 5, either (1) the trained Neural Network or,
10   alternatively, (2) the trained Weighted Distance
Classifier is used to generate Predicted Membership Values
according to the quantitative Feature Value Couplets of
Table 21.   This is shown as Derive Predicted Membership
Values Operation 2818 in Figure 21A.   In this regard,
15   values from Column 2812 and Column 2814 of Dataset 2808
are read into Operation 2818 along with the Classifier
Reference Instance (918, 912) derived in Operation 2810.
Comparison of the Predicted Membership Value defined by
the trained NN (trained WDC) to the Human Expert
20   Membership Value originally measured is then performed.
This is shown figuratively in Table 22 and in Dataset 2820
of Figure 21B.   Note that Dataset 2820 acquires its values
from Column 2812, Column 2814, and Column 2816 of Dataset
2808 and also from Operation 2818 (note again that, even

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as the columns are conveniently identified, the system
continues to relate to each Object, or effective row
across all columns referenced, as a related data entity
for use in classification).

5

Table 22

First Feature Value	Second Feature Value	Membership Value Predicted from using trained classifier (note these are examples of what the newly- trained classifier defines as a Membership Value set)	Membership Value Measured from Human Expert Input (Table 21 value)
$F_{1,1}$	$F_{1,8}$	1	1
$F_{2,1}$	$F_{2,8}$	1	0
$F_{1,4}$	$F_{1,10}$	0	1
$F_{2,4}$	$F_{2,10}$	1	0
$F_{1,6}$	$F_{1,2}$	1	1
$F_{2,6}$	$F_{2,2}$	0	0
$F_{1,3}$	$F_{1,1}$	1	1
$F_{2,3}$	$F_{2,1}$	0	0
$F_{1,5}$	$F_{1,9}$	0	1
$F_{2,5}$	$F_{2,9}$	1	0

From examination of Table 22 and Dataset 2820, conclusions
10 (shown in Table 23) about the classification usefulness of
individuals of Table 20 are drawn respective to the
proposed plan of randomly-defined Table 20; these
conclusions are based upon the performance (in this first
pass) of the Feature Value Couplets and affiliated
15 Membership Values recovered as Objects from the Learning
Database according to the defined individuals of Table 20
when used by the classifier deployed.

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Table 23

$F_{Z,1}$	$F_{Z,8}$	50 percent correct in predicting since, as shown in Table 22, one sample was properly classified and one sample was not properly classified
$F_{Z,4}$	$F_{Z,10}$	0 percent correct in predicting since, as shown in Table 22, both samples were improperly classified
$F_{Z,6}$	$F_{Z,2}$	100 percent correct in predicting since, as shown in Table 22, each sample was properly classified
$F_{Z,3}$	$F_{Z,1}$	100 percent correct in predicting since, as shown in Table 22, each sample was properly classified
$F_{Z,5}$	$F_{Z,9}$	0 percent correct in predicting since, as shown in Table 22, both samples were improperly classified

5

In Step 6, the five individuals of Table 20 are ranked according to their performance in predictive classification. Table 23 now is rearranged into Table 24. Dataset 2822 of Figure 21B also shows the data arrangement

10 of Table 24. In tracing the data-linkages shown between Dataset 2820 and Dataset 2822, the specific considerations of the conclusive (rightmost column) column of Table 24 and Dataset 2822 respective to the data in Table 22 (Dataset 2820) are demonstrated. Note that Table 23 is

15 not shown as a dataset in the Figures.

Table 24

$F_{Z,6}$	$F_{Z,2}$	100 percent correct in predicting since, as shown in Table 22, each sample was properly classified
$F_{Z,3}$	$F_{Z,1}$	100 percent correct in predicting since, as shown in Table 22, each sample was properly classified
$F_{Z,1}$	$F_{Z,8}$	50 percent correct in predicting since, as shown in Table 22, one sample was properly classified and one sample was not properly classified
$F_{Z,5}$	$F_{Z,9}$	0 percent correct in predicting since, as shown in Table 22, both samples were improperly classified
$F_{Z,4}$	$F_{Z,10}$	0 percent correct in predicting since, as shown in Table 22, both samples were improperly classified

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Proceeding now to Step 7, two of the combinations (individuals) of Table 20 are selected for generation of "children" in a set of two operations termed "crossover"

5.   and "mutation"; in this regard, and in the context of the definition of new "children", the two chosen individuals of Table 20 are referenced as "parents". The process is further shown in Figure 21C. Figure 21C reprises Dataset 2802. In example, the $F_{z,6}$ - $F_{z,2}$ combination is randomly

10   chosen and the $F_{z,5}$ - $F_{z,9}$ combination is also randomly chosen (note, in spite of the fact that an "individual" may have been a "poor performer" in the prediction evaluation, the "individual" is still valid as a "parent" for creating a "child" for the system). Dataset 2826

15   shows the 2 parent features sets in Figure 21C and the random choosing action is denoted as Operation 2824. In the crossover process itself (Step 8 and also indicated as Crossover 2828 in Figure 21C) the $F_{z,5}$ - $F_{z,9}$ and the $F_{z,6}$ - $F_{z,2}$ features are exchanged. In crossing over, a feature

20   "gene" from each of two randomly selected "parents" in Table 20 is used as one of each of the child feature "genes" (an examination of the datalinkages between Datasets 2830 and 2832 as they influence Datasets 2834 and 2836 further clarifies the crossover operation). The

25   Table 20 "generation" has now become the Table 25 "generation" insofar as two "children" have been added to the original population of individuals of Table 20.

30

Table 25

$F_{Z,1}$	$F_{Z,8}$	Individual 1
$F_{Z,4}$	$F_{Z,10}$	Individual 2
$F_{Z,5}$	$F_{Z,2}$	Individual 3 – a child of Table 20 parents $F_{z,5} - F_{z,9}$ and $F_{z,6} - F_{z,2}$
$F_{Z,3}$	$F_{Z,1}$	Individual 4
$F_{z,5}$	$F_{z,9}$	Individual 5 (a parent)
$F_{z,6}$	$F_{z,2}$	Individual 6 (a parent)
$F_{Z,6}$	$F_{Z,9}$	Individual 7 – a child of Table 20 parents $F_{z,5} - F_{z,9}$ and $F_{z,6} - F_{z,2}$

5   In Step 9, mutation of the new children of the Table 25
generation is performed (see Mutation Operations 2846 in
Figure 21C). In this regard, one of Features $F_{z,1}$ to $F_{z,10}$
which is not one of the feature "genes" of the new
children in the generation of Table 24 is randomly

10   selected for use in substitution (in each child) for a
feature gene directly inherited from one of the parents in
Operations 2838 and 2840. Operations 2842 and 2844 then
execute to randomly discard one gene from each Child
(Datasets 2834 and 2836, with the discarded feature

15   "genes" shown as Blanks 2856 and 2858 of respective
Datasets 2848 and 2850). The Features selected for
substitution are then substituted the discarded feature
"genes" (Blanks 2856 and 2858) in the children of Table
25. In example, Individual 7 is mutated to replace $F_{z,6}$

20   with $F_{z,7}$, and Individual 3 is mutated to replace $F_{z,2}$ with
$F_{z,4}$ (see the movements from Dataset 2848 and 2850 into
Datasets 2852 and 2854 with the inclusion of the features
selected in Operations 2838 and 2840). The Table 25
"generation" has now mutated into the Table 26 (Dataset

25   2856) "generation". The combination of Datasets 2802,
2852, and 2854 into Dataset 2856 is diagrammed in Figure
21D.

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Table 26

$F_{z,1}$	$F_{z,8}$	Individual 1
$F_{z,4}$	$F_{z,10}$	Individual 2
$F_{z,5}$	$F_{z,4}$	Individual 3 – a now mutated child of Table 20 parents $F_{z,5}$ – $F_{z,9}$ and $F_{z,6}$ - $F_{z,2}$
$F_{z,3}$	$F_{z,1}$	Individual 4
$F_{z,5}$	$F_{z,9}$	Individual 5 (a parent)
$F_{z,6}$	$F_{z,2}$	Individual 6 (a parent)
$F_{z,7}$	$F_{z,9}$	Individual 7 – a now mutated child of Table 20 parents $F_{z,5}$ – $F_{z,9}$ and $F_{z,6}$ - $F_{z,2}$

5   In Step 10, which can be termed "survival of the most
fit", the two worst-performing individuals of Table 20
($F_{z,4}$ – $F_{z,10}$ & $F_{z,5}$ – $F_{z,9}$) are replaced by the two new
mutated children of Table 26 in Operation 2858; put
another way, since only 5 combinations (individuals) are
10   permitted in the performing population of a particular
"generation", a new Table for evaluation is defined from
the three best performing "old folks" of Table 20 and the
2 new "mutated children" (who are too "young and untested"
to be designated as either good or bad performers yet, but
15   who are presumed to have predictive potential until tested
otherwise) of Table 26.   The process is further
appreciated from the diagram of Figure 21D which shows
Dataset 2856 modified by Operation 2858 to remove
individuals $F_{z,4}$ – $F_{z,10}$ & $F_{z,5}$ – $F_{z,9}$ according to the inputs
20   of reprised Dataset 2822. The removal of individuals $F_{z,4}$
– $F_{z,10}$ & $F_{z,5}$ – $F_{z,9}$ is shown with respective Remove 2860
and Remove 2862 designators. The other individuals of
Database 2822 are retained according to designator Retain
2864.   The new Table for evaluation is shown as Table 27
25   and as Dataset 2866: